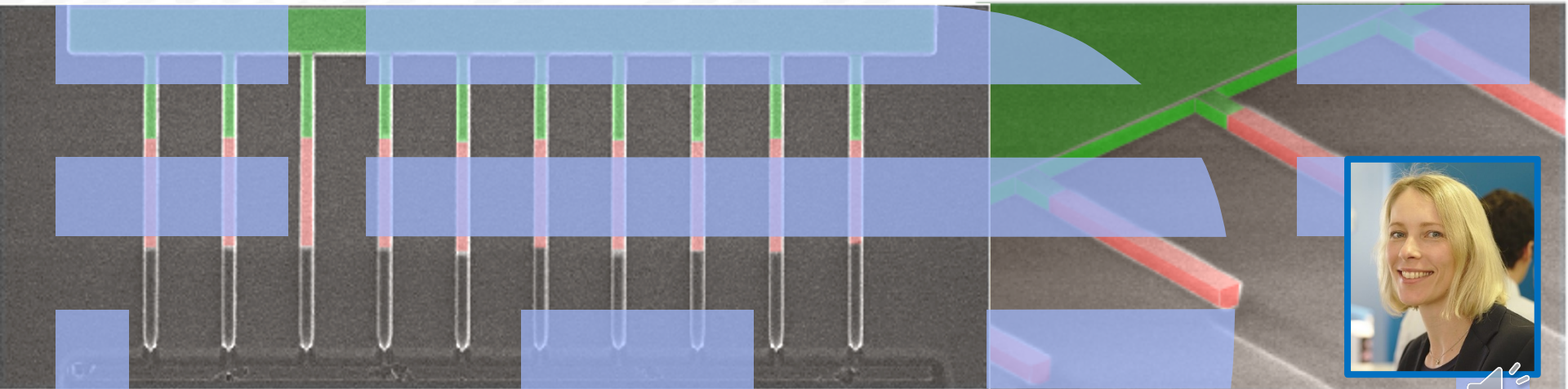


# Scaled III-V optoelectronic devices on silicon

P. Tiwari<sup>1</sup>, S. Mauthe<sup>1</sup>, N. Vico Trivino<sup>1</sup>, P. Staudinger<sup>1</sup>, M. Scherrer<sup>1</sup>, P. Wen<sup>1</sup>,  
D. Caimi<sup>1</sup>, M. Sousa<sup>1</sup>, H. Schmid<sup>1</sup>, Q. Ding<sup>2</sup>, A. Schenk<sup>2</sup> and K. E. Moselund<sup>1</sup>

<sup>1</sup>IBM Research Europe, Rüschlikon, Switzerland

<sup>2</sup>ETH Zurich; Switzerland



# Numerical Simulation of Optoelectronic Devices

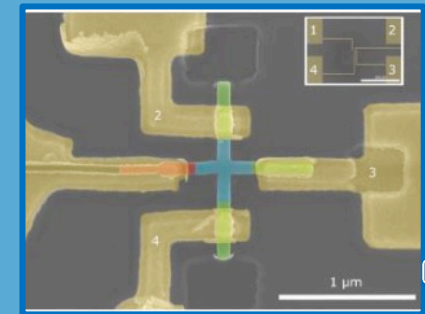
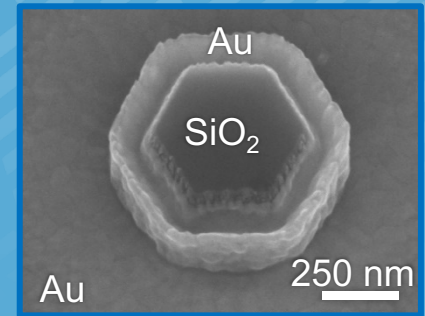
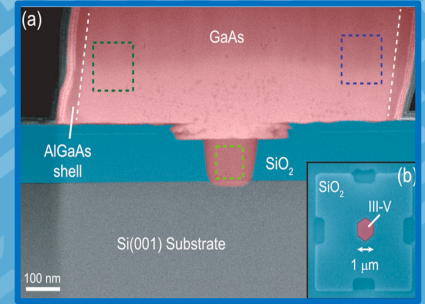
## **Objective of this talk:**

### Connecting Theory and Application of Optoelectronic Devices

- Discuss some of the challenges related to III-V on Si integration
- Give you an overview of some of the devices we are working on – emitters and detectors.
- Show how simulations may be essential for device understanding
- Provide guidelines to which problems may be addressed by simulation

# Overview

- Motivation and intro – III-V on Si
- Template-Assisted Selective Epitaxy (TASE)
- III-V TASE microdisk lasers
- Two examples – interaction with simulation
  - *Monolithic InGaAs detectors*
  - *Nanolaser scaling with metal-clad cavities*
- Summary & Conclusion



# Motivation – monolithic III-V on Si for photonics

## Silicon

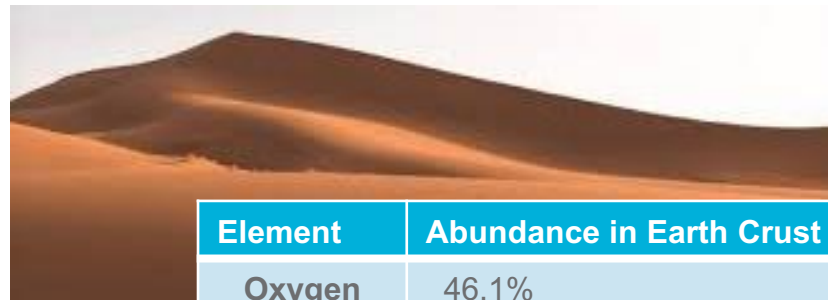
- Cheap, abundant, self-passivating
- Material of choice for electronics
- >60 years of semiconductor technology
- Low-loss high density silicon passive photonics

→ Silicon photonics as platform

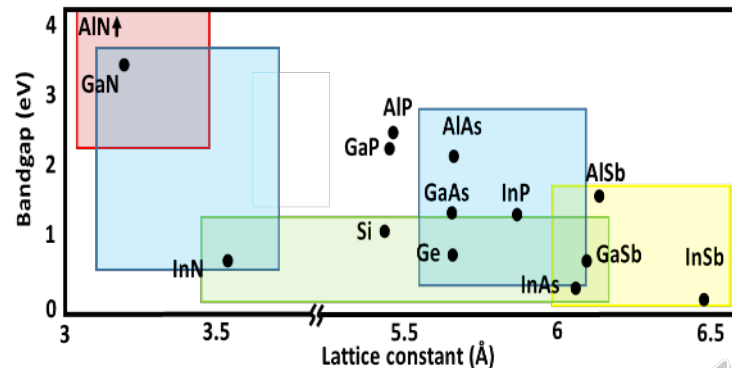
## Need III-Vs for light-emission

- Direct band gap → pre-requisite for lasing
- Heterostructures → efficient opto-electronic devices
- Tunable bandgap → broad spectral range
- More efficient, low-noise photodetectors

→ Template-Assisted Selective Epitaxy for local integration of III-V on silicon

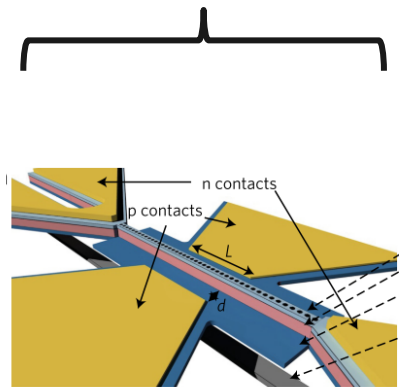


Element	Abundance in Earth Crust
Oxygen	46.1%
Silicon	28.2%
Indium	0.000016%



# III-V epitaxy on Si for photonics

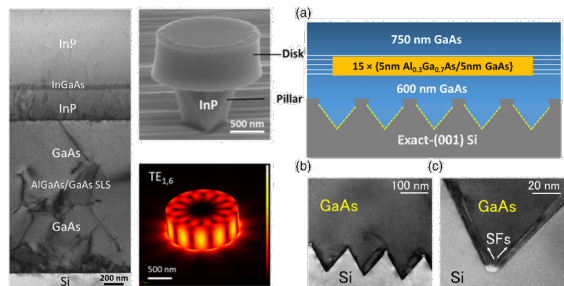
## Wafer bonding



G. Crosnier, Nat. Phot. (2017)

- High material quality
- Dense integration challenges

## Planar epitaxy



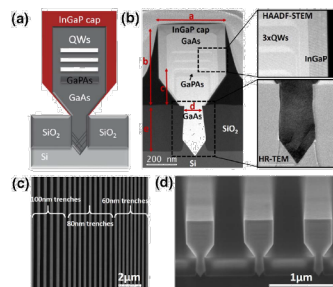
B. Shi, APL (2017)

Y. Wan, Optica (2017)

- Monolithic on Si
- Issues with material defects
- Topography

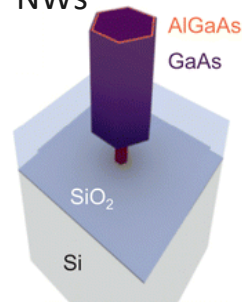
## Selective epitaxy

### Aspect-Ratio Trapping



Y. Shi, Optica (2011)

### NWs



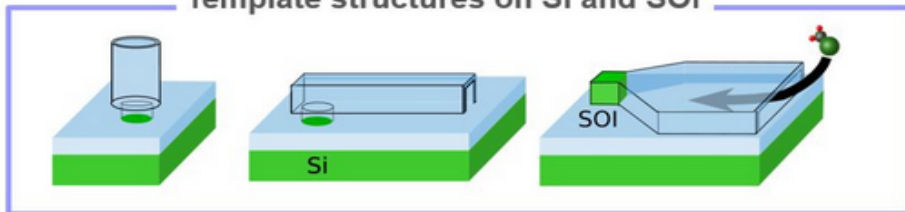
B. Mayer, Nano Lett. (2015)

- Scalable
- No thick buffers
- Geometry may be limiting

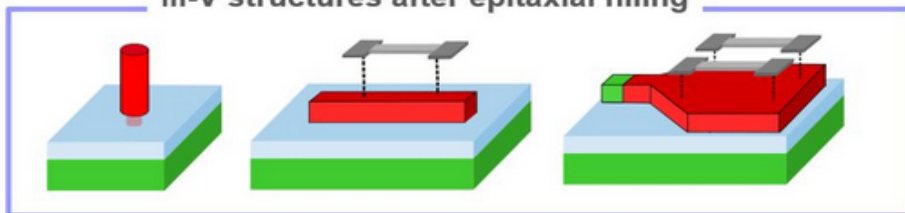
# Template-Assisted Selective Epitaxy (TASE)

## Template-Assisted Selective Epitaxy

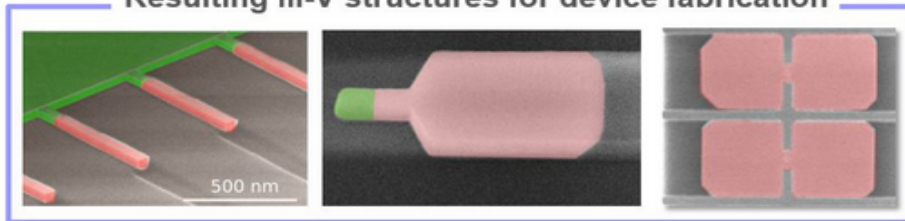
Template structures on Si and SOI



III-V structures after epitaxial filling



Resulting III-V structures for device fabrication



## Concept

1. Start epitaxy from a single nucleation point
2. Keep area of epitaxial interface small
3. Expand seed and guide growth within oxide template

## Benefits:

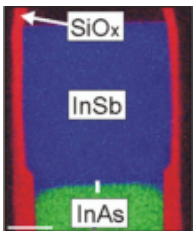
- Avoids lateral overgrowth of junctions associated with NW growth
- Easy-alignment to other Si features
- Can repeat process to get multiple III-Vs on the same wafer.

- 📖 P. D. Kanungo et al. Nanotechnology (2013)
- 📖 M. Borg et al. Nano Letters (2014)
- 📖 H. Schmid et al. APL (2015)
- 📖 L. Czornomaz et al. VLSI (2015)

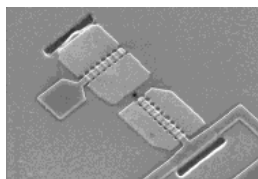
# Timeline of work on TASE

Epi-Growth

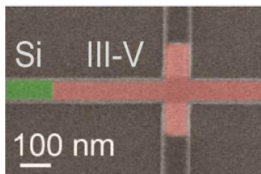
InSb/ InAs first trials



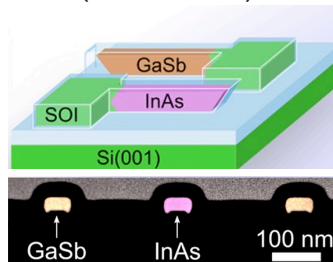
CELO growth



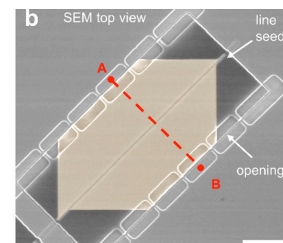
Arbitrary shapes



Co-integration (InAs/GaSb)



Crystal phase control (InP)



Time

2013

2014

2015

2016

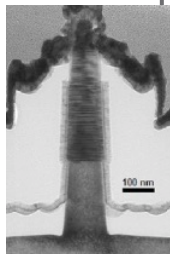
2017

2018

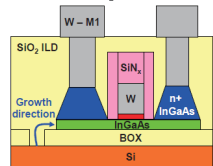
2019

2020

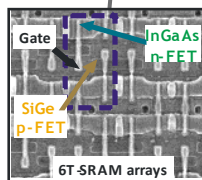
Applications



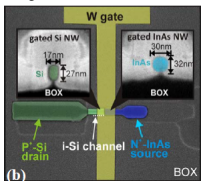
P-TFET  
InAs-Si



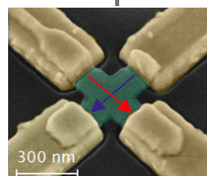
MOSFET  
InGaAs &  
InAs



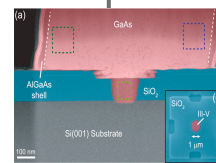
Hybrid SRAM



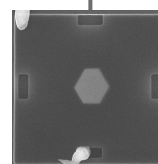
C-TFET



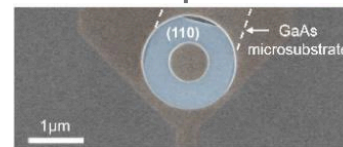
Ballistic NW  
transport



GaAs microdisk  
laser



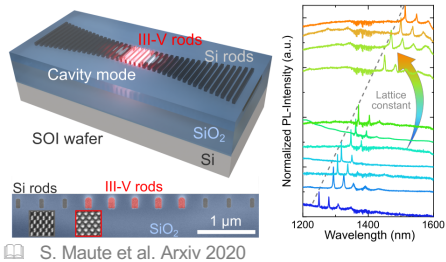
InP microdisk  
laser



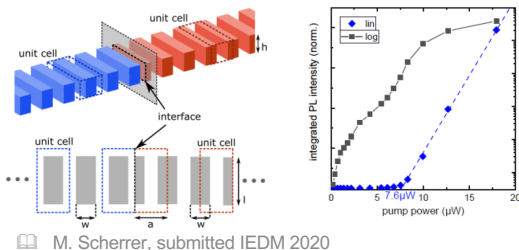
GaAs virtual  
substrate laser

# Overview of current photonic activities in our group

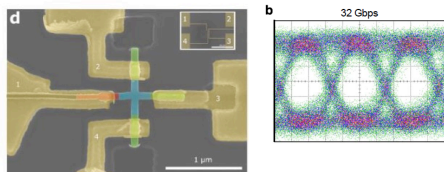
## Hybrid III-V/Si photonic crystals (PhCs)



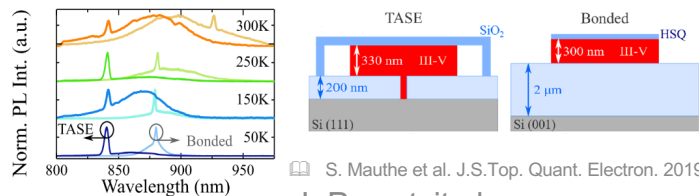
## Topological PhCs



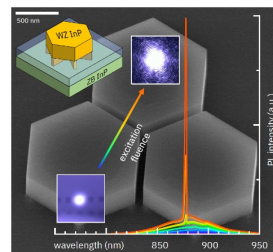
## First monolithic integrated InGaAs detectors on Si



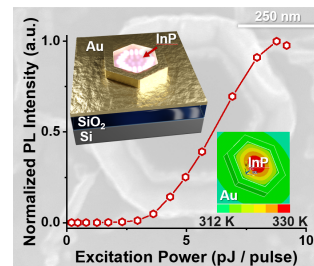
## Microdisk lasers by TASE and bonding



## InP wurtzite laser



## Metal-clad InP bonded nanodisk lasers

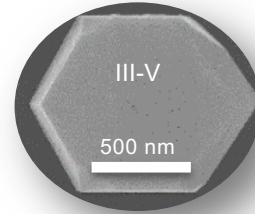
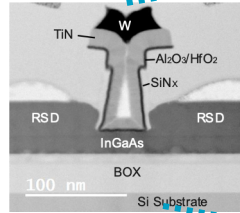




# III-V TASE Microdisk lasers



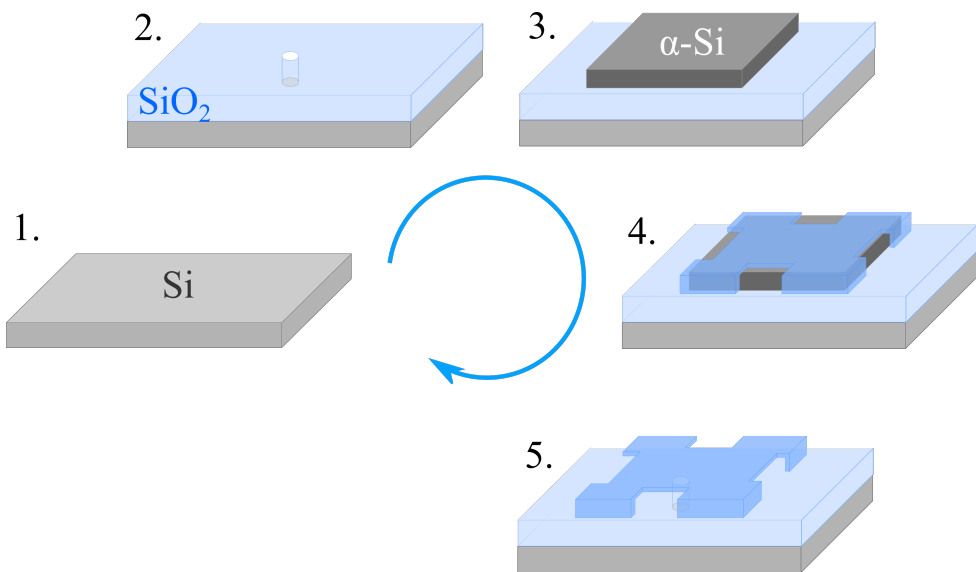
# Monolithic optoelectronic devices



## Challenges

- Much larger device volume compared to electronics → control of composition and defects is critical
- Dielectric isolation from substrate → thicker BOX = more topography
- Roughness from template → challenging for quantum well integration

# Novel Technique to integrate high-quality III-V on Si

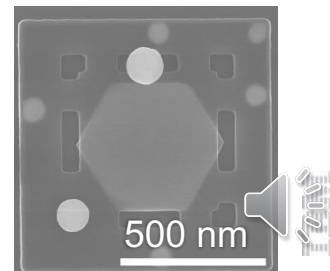


## Template-assisted selective epitaxy (TASE)

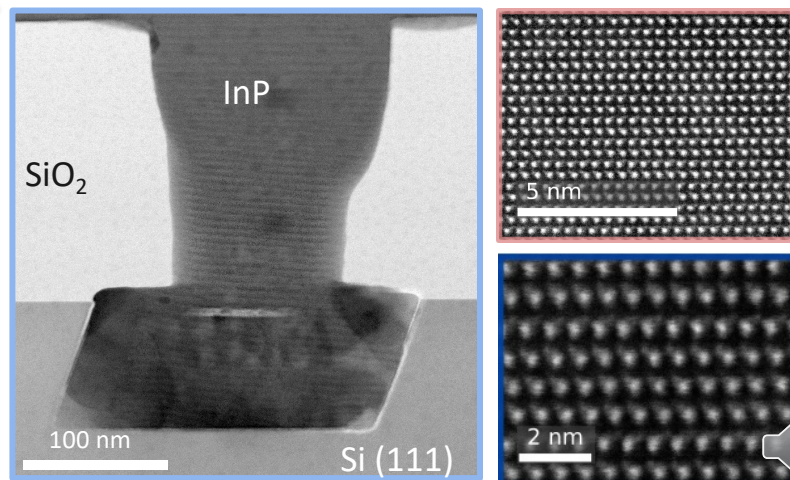
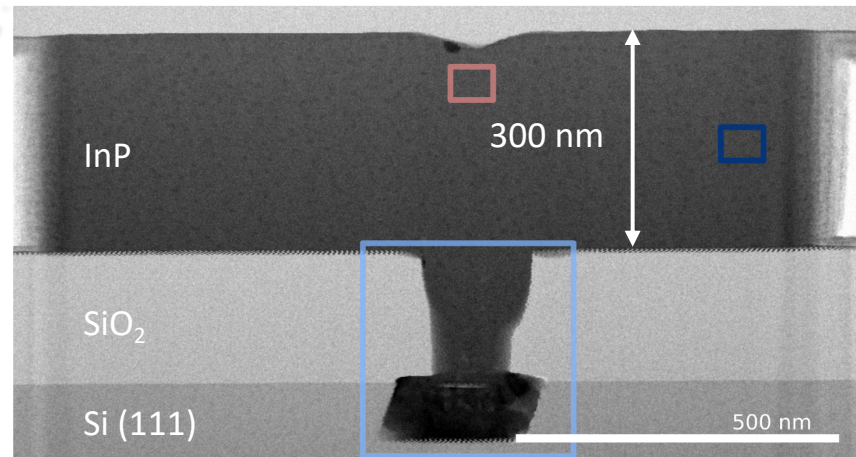
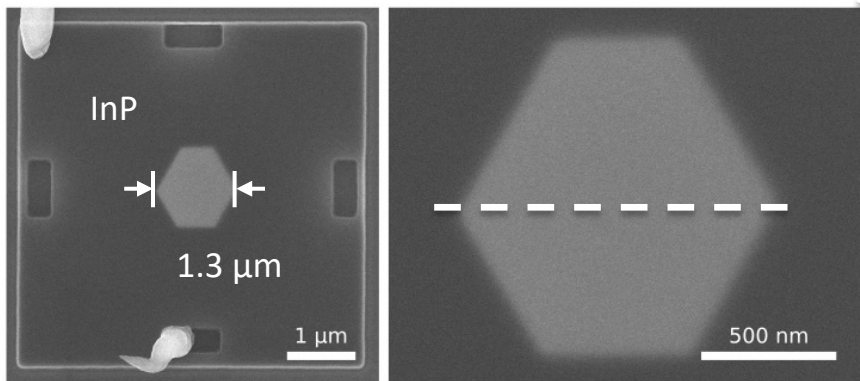
1. Si wafer
2. Deposition and patterning of  $\text{SiO}_2$
3. Deposition and patterning of sacrificial  $\alpha\text{-Si}$  layer
4. Deposition of oxide shell and local opening to expose  $\alpha\text{-Si}$
5. Etch of the sacrificial  $\alpha\text{-Si}$  layer
6. MOCVD growth of III-V material

➤ Growth expands in lateral direction

➤ Growth duration defines expansion



# InP microdisks on Si(111)

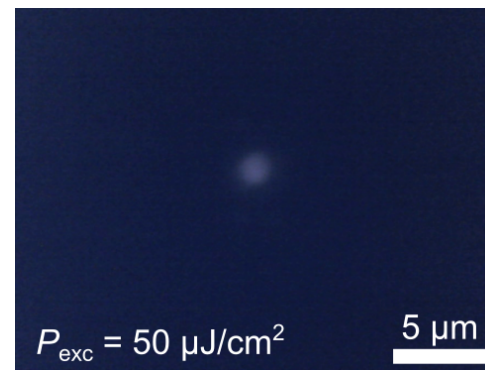
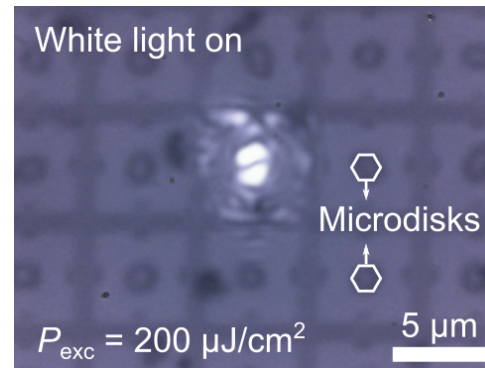
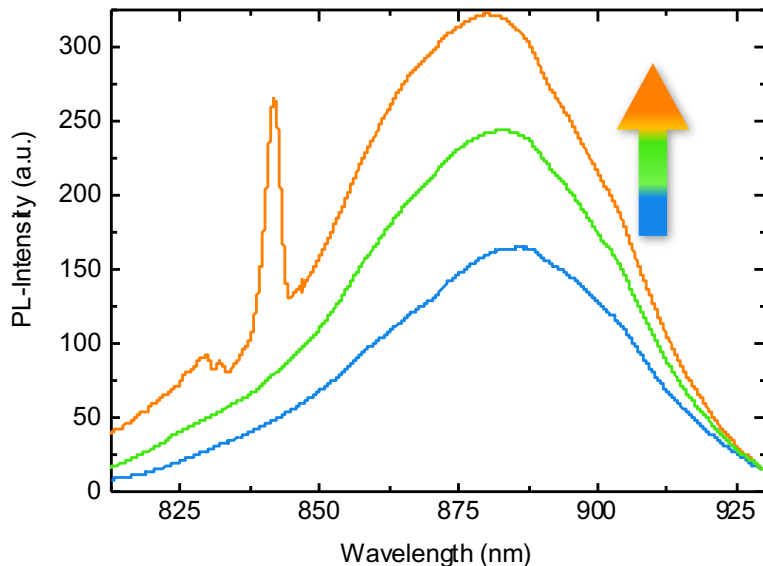
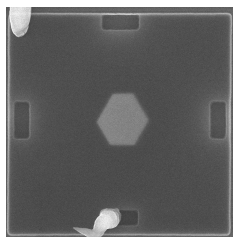
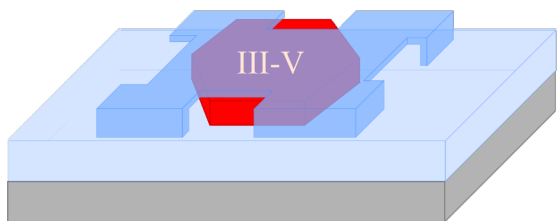


- Single crystalline InP microdisk structures
- No etching required, Atomically flat side walls
- No propagating defects



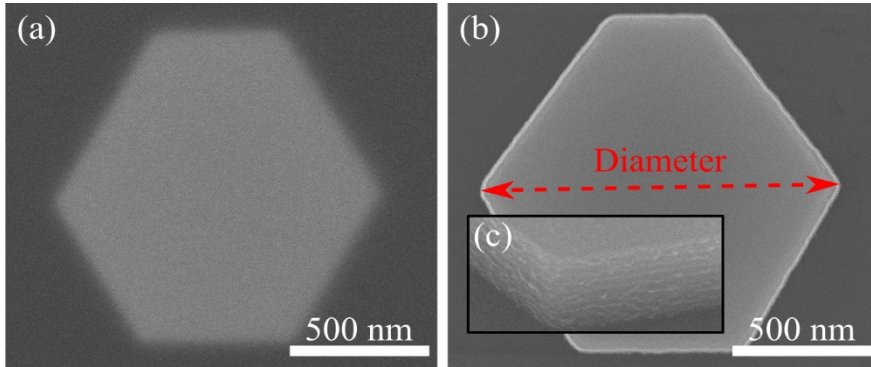
# InP microdisk laser with RT performance

750 nm ps-pulsed excitation

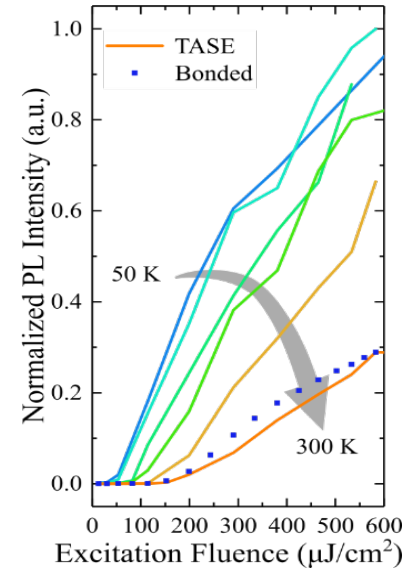
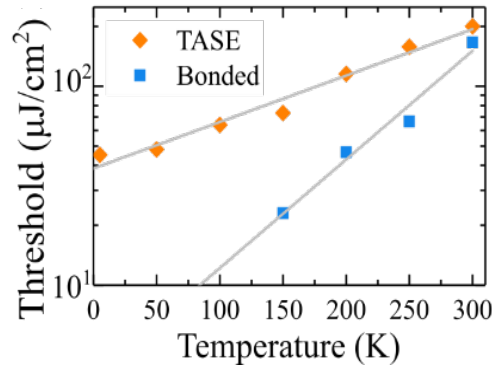
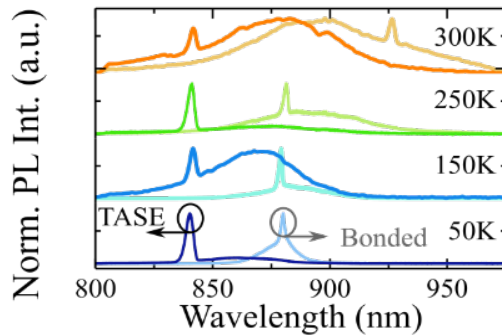


 S. Mauthe, JSTQE, 2019

# Comparison InP microdisks – bonded vs. TASE



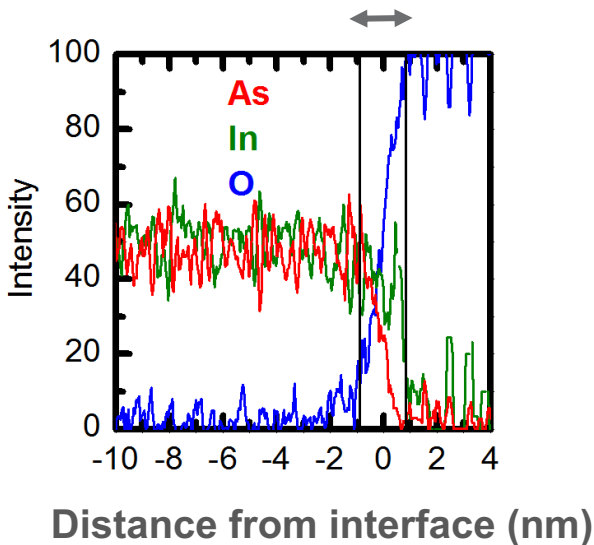
- Wafer bonding InP on Si and InP dry etching
- PL of TASE slightly shifted
  - Possible WZ/ZB mixing
- TASE show weaker T-dependence
  - Performance limited by bulk twin defects
  - Bonded structures limited by surface defects



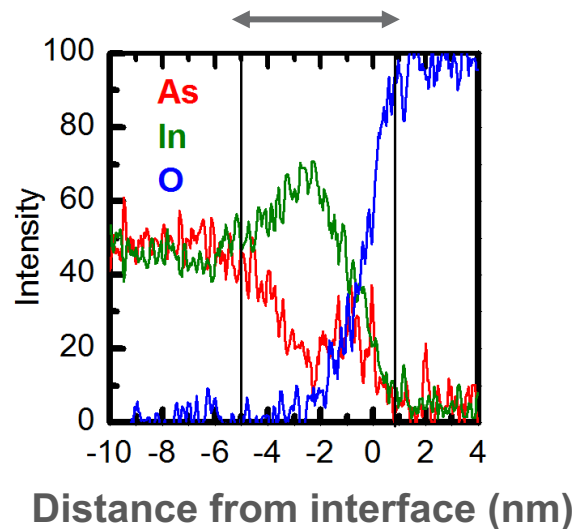
📖 S. Mauthe, IEEE J. Sel. T. Quant. Electron. (2019)

# III/V - template interface

## InAs in template



## InAs w/o template



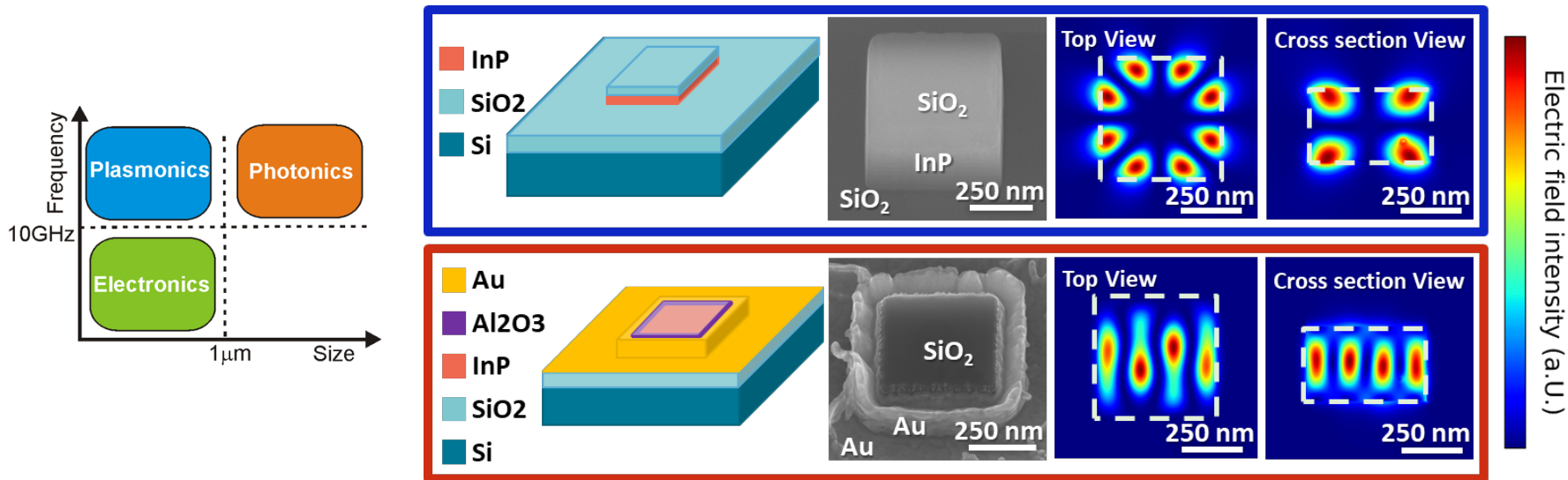
Reduced interface oxidation  
→ Improved transport properties

# Example 1: Metal-clad cavities





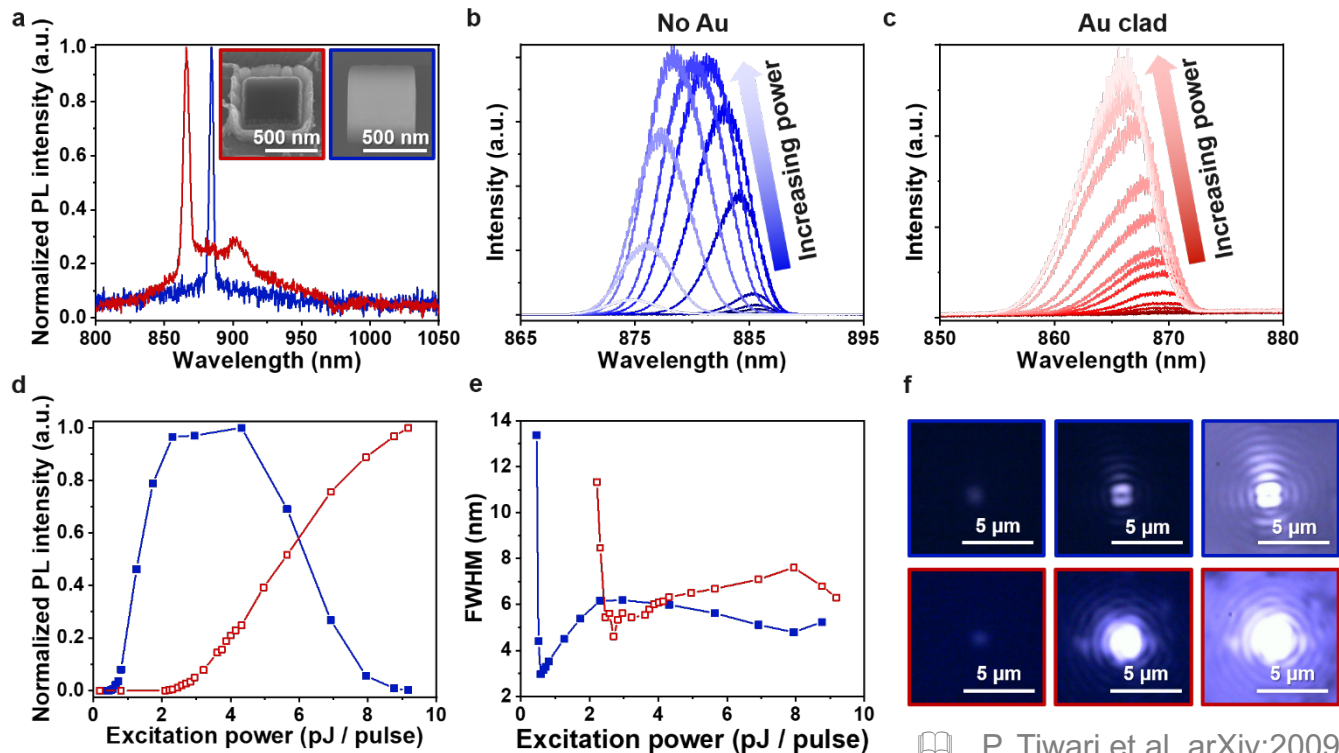
# Plasmonics – path for downscaling



P. Tiwari et al. IEEE IPC, 2020. P. Tiwari et al. arXiv:2009.03572, 2020

- Hybrid plasmonic-photonic modes may allow scaling beyond diffraction limit
- Different mode-patterns in photonic vs. metal-clad cavities
- Differentiation of the impact of the metal – plasmonics, reflectivity, heat removal

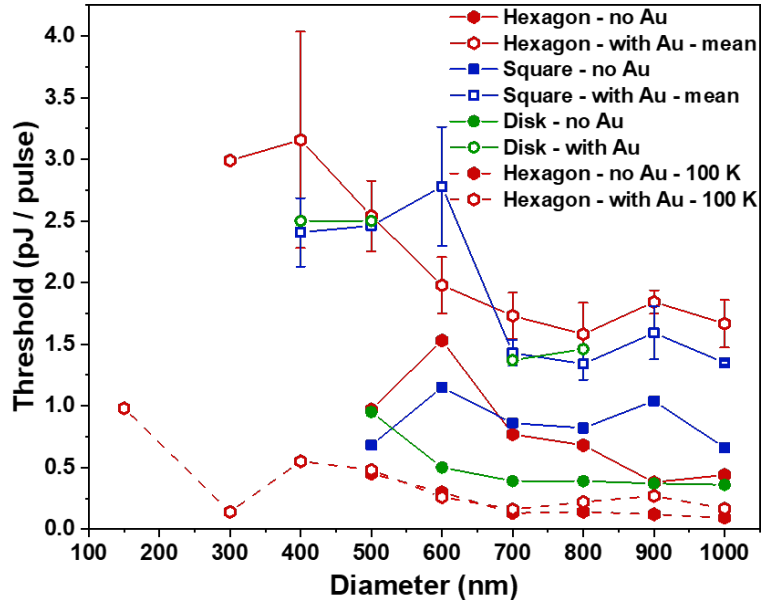
# Metal-clad cavities – comparison of performance



📖 P. Tiwari et al. arXiv:2009.03572, 2020

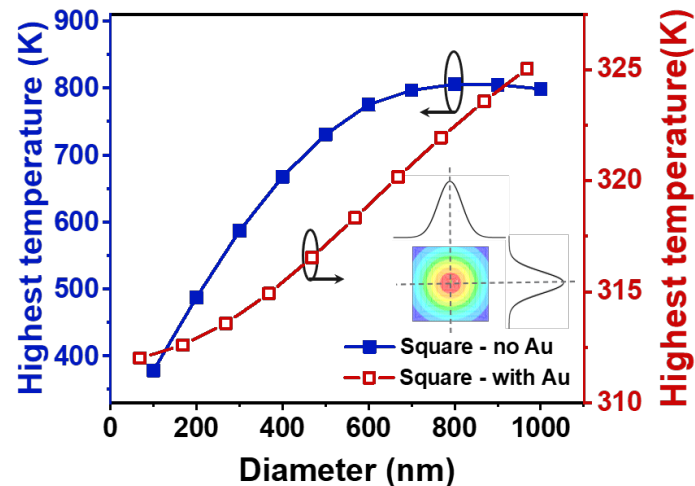
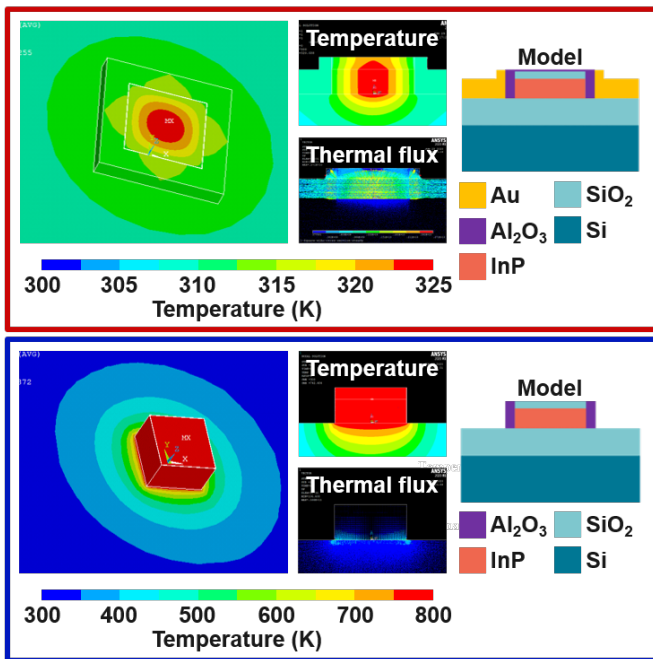
- Same cavities (different shapes) – measured with and without a metal cavity
- Significant differences in performance

# Scaling behavior



- Minor effect of the shape (volume).
- Metal-clad cavities
  - Higher thresholds
  - Reduced drop-off with pump power
  - Lase at smaller dimensions
- At 100K, thresholds overlap, and the metal-clad can be even further scaled.

# Thermal simulations



P. Wen, Ansys Thermal Simulations

- Understanding the heat dissipation path is crucial for optimization
- Au acts as a heat sink and dramatically reduces maximum temperature (~400K)



# Role of simulations in Metal-clad lasers

- Lumerical: Different mode patterns in photonic and metal-clad cavities, but no stand-alone clarity about role of metal
- Ansys: Thermal simulations are a crucial part of understanding behaviour in these devices.

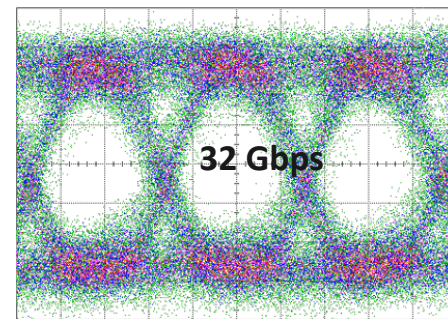
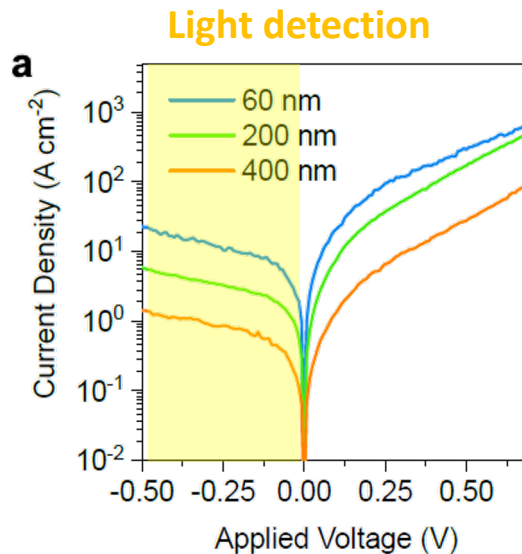
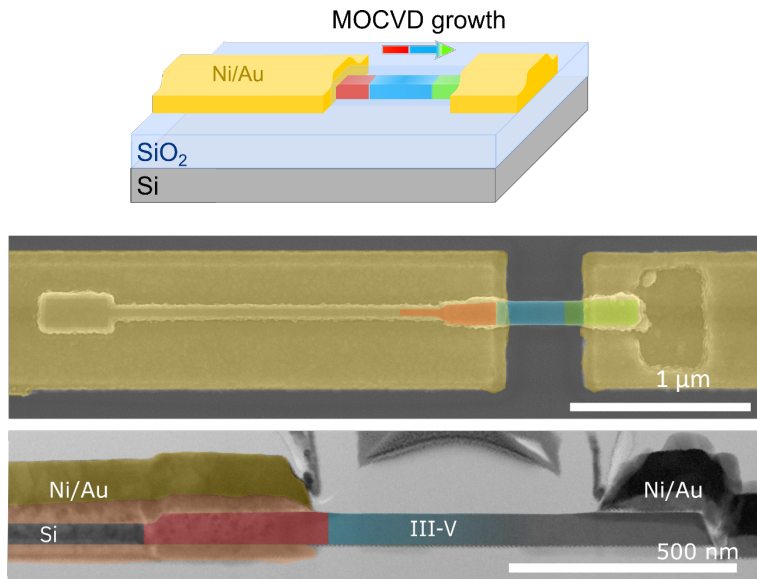
## Still on the wishlist:

- Presently only the absorption loss in the metal is accounted for, how about scattering in the metal and at rough interfaces?
- Coupling between Ansys and Lumerical/Sentaurus – contributions of the lasing mode itself.

# Example 2: Monolithic III-V detectors



# Ultra-scaled InGaAs *p-i-n* Photodetector



S. Mauthe, OFC 2020

## Devices are scaled:

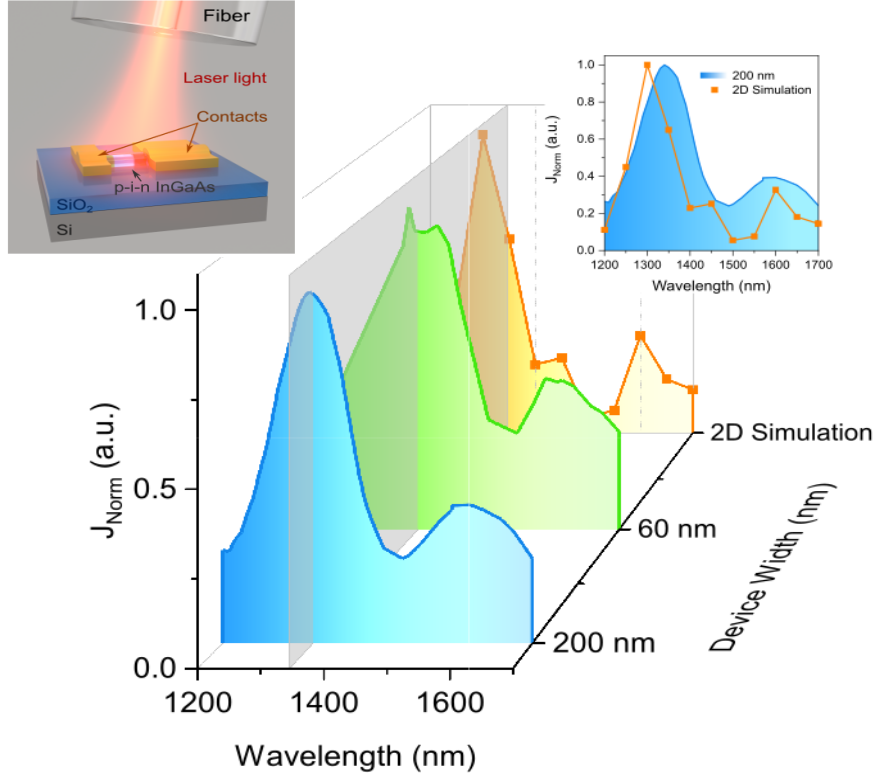
- 60 nm high
- 60-500 nm wide
- 1 μm long

→ Small footprint devices enabled by in-plane growth

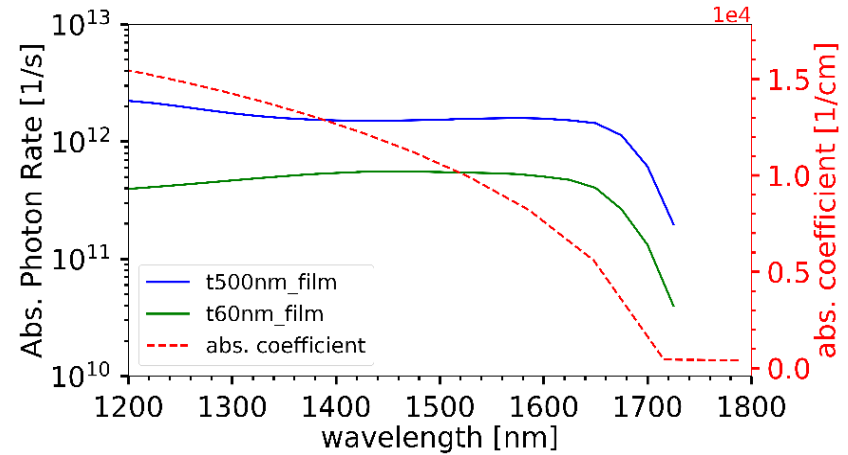
→ Low dark current (nA) required for efficient detection

→ Demonstrated operation > 25 GHz

# Non-linear spectral dependence



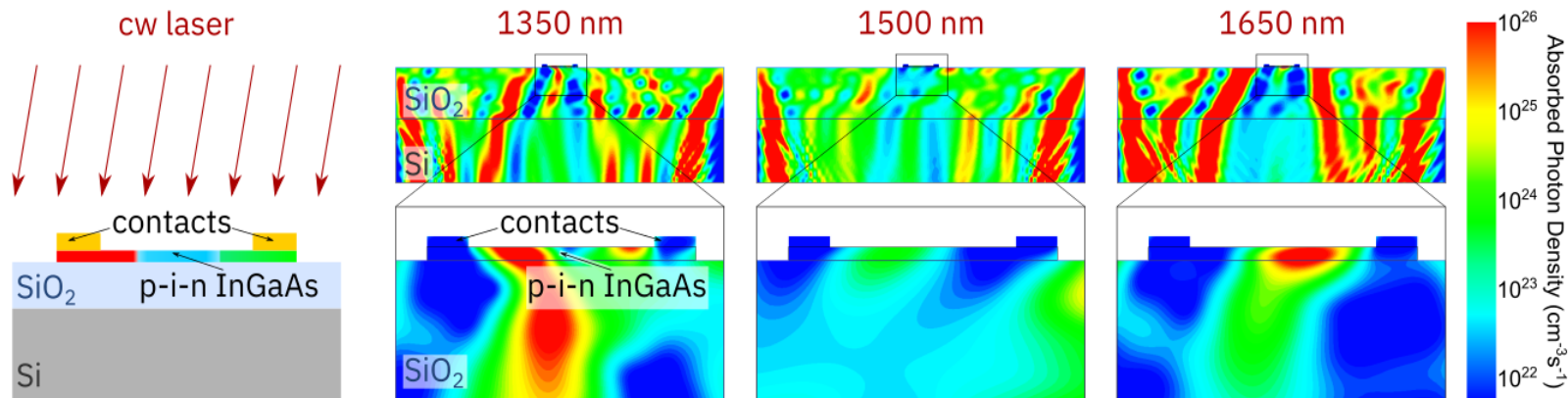
📖 S. Mauthe, Nature Com. (2020)



- Free-space illumination with supercontinuum source → two peaks in the absorption spectra.
- Not expected purely from the material response



# Insights from TCAD simulation



- TCAD simulations using Sentaurus Synopsys
- The thin film thickness (60 nm) results in reflections
- The exact contact lay-out is important as it results in local wavelength dependent enhancement of the field.

In-depth insights in #D06, titled as "Scaling Effects on the Plasmonic Enhancement of Butt-Coupled Waveguide Photodetectors", by Qian Ding.

# Summary



# Summary

- Introduced the TASE epitaxial growth technique as a platform for the local monolithic integration of III-V for photonics
- Introduced our work on microdisk lasers as a means for understanding the importance of defects
- Demonstrated two different case studies where simulations provide essential guidance on device design
  - Metal-clad nanolasers
  - Scaled monolithic detectors

# From a simulation perspective – important questions

- Coupling of photonic and electronic simulations may be challenging
  - Fully coupled 3D opto-thermal-electrical simulations (including self-heating self-consistently)
- Not all defects are alike
  - The location of the defects and your operating conditions matter
- How can we as experimentalist provide the right data to enable accurate calibration of simulations.
- Alignment of objectives

# Co-authors & acknowledgements

## Nanophotonics

Noelia Vico Trivino



Svenja Mauthe



Preksha Tiwari



Markus Scherrer

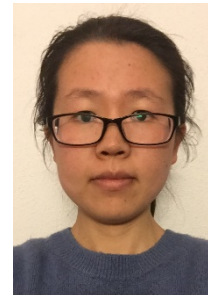


## TCAD Simulations (ETHZ)

Andreas Schenk



Qian Ding



## III-V growth development & Materials

Heinz Schmid



Marilyne Souza

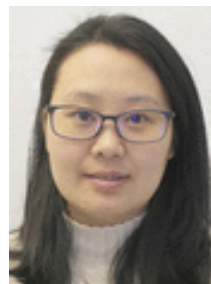


Philipp Staudinger



## Thermal Simulations

Pengyan Wen



- Technical support from the Binning and Rohrer Nanotechnology Center (BRNC)

# Thank you for your attention



## MIND Team 2019

**Funding**  
EU H2020:SILAS,



ERC grant: PLASMIC



**European Research Council**

Established by the European Commission



# Questions

Please contact me on: [kmo@zurich.ibm.com](mailto:kmo@zurich.ibm.com)

