

# Physical Modeling of Deep-Submicron Devices

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# Outline

Introduction

Quantization models

Reduction of gate capacity and  $V_T$  shift

Direct tunneling gate leakage

Source-to-drain tunneling (?)


Conclusion

# Introduction

Technological challenges in deep-submicron CMOS (no known solutions for some projected industry requirements), e.g.:

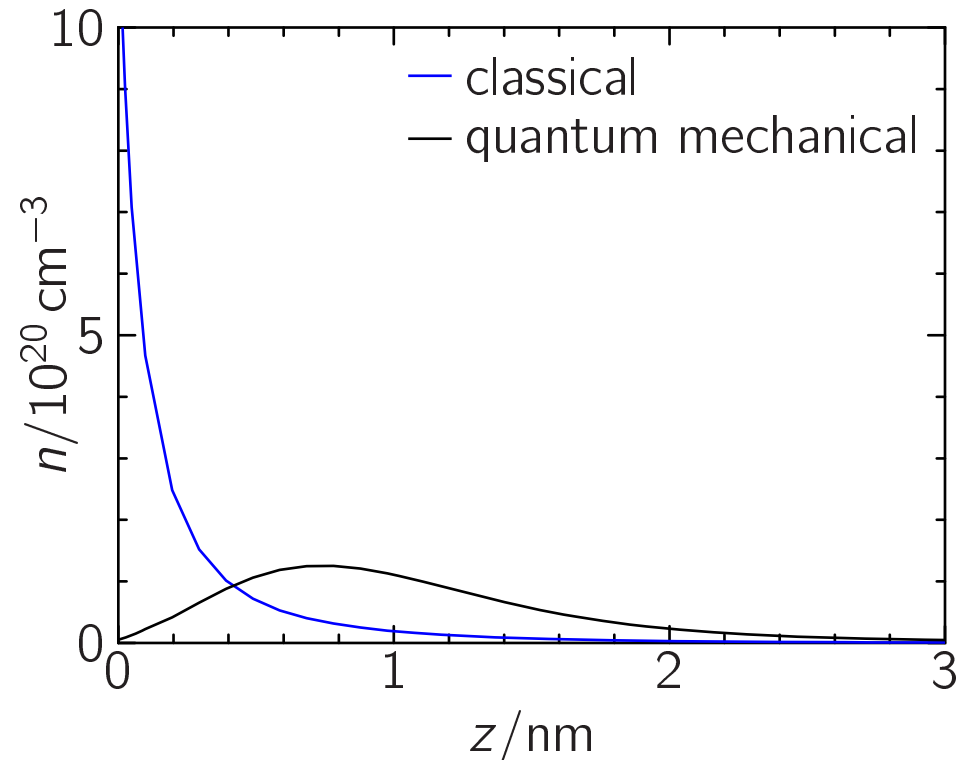
- Band-to-band tunneling in body-drain junction of bulk MOSFETs (GIDL)
- Direct gate tunneling leakage (off-state power consumption)
- Depletion effects (e.g. source/drain depletion in DG MOSFETs)
- Random fluctuation of device properties

Modeling and simulation has to take up these challenges, e.g.:

- Effects of quasi-ballistic transport
- Quantum effects 
- Generation of interface traps
- Discreteness of doping (3D), noise
- Long-range electron-electron interaction
- Strained silicon, SiGe

## Quantum effects

- Confinement (density modification)
- Direct and resonant tunneling (gate current)
- B2B and defect-assisted tunneling (GIDL)
- Potential scattering (channel mobility)
- Source-to-drain tunneling



$$V_G = 3 \text{ V}, t_{\text{ox}} = 3 \text{ nm}, N_A = 5 \cdot 10^{17} \text{ cm}^{-3}$$

Wave length of a free electron of energy  $k_B T$  at 300 K:  $\approx 8 \text{ nm}$

## Density modification

A '**quantum potential**'  $\Lambda$  is introduced in the classical formula of the density (e.g. electrons and Boltzmann statistics):

$$n[\vec{R}] = N_c \exp \left[ \beta \left( E_{F,n}[\vec{R}] - E_c[\vec{R}] - \Lambda[\vec{R}] \right) \right]$$

The classical current equation reads:

$$e\vec{j}[\vec{R}] = -\mu k_B T \nabla n[\vec{R}] - \mu n[\vec{R}] \nabla \left( E_c[\vec{R}] + \Lambda[\vec{R}] \right)$$

## van Dort model

$\Lambda$  is a function of the local electric field  $F_{\perp}$  normal to the interface:

$$\Lambda = a_{\text{fit}} h(\mathbf{d}) (\beta\epsilon_0\epsilon_s/4)^{1/3} |F_{\perp}|^{2/3}$$

- Based on lowest eigenenergy of a carrier in a triangular potential well
- $a_{\text{fit}}$  = fit factor,  $h(\mathbf{d})$  = turn-off function
- $\Lambda$  acts as an effective band gap widening
- $E_c$  shift or  $E_v$  shift depending on sign of  $F_{\perp}$
- Suited for bulk MOSFETs (for SOI and DG only, if body thickness does not reach quantum-mechanical length scales)
- Important terminal characteristics well-described, but not density
- Numerically robust

## 1D Schrödinger-Poisson solver

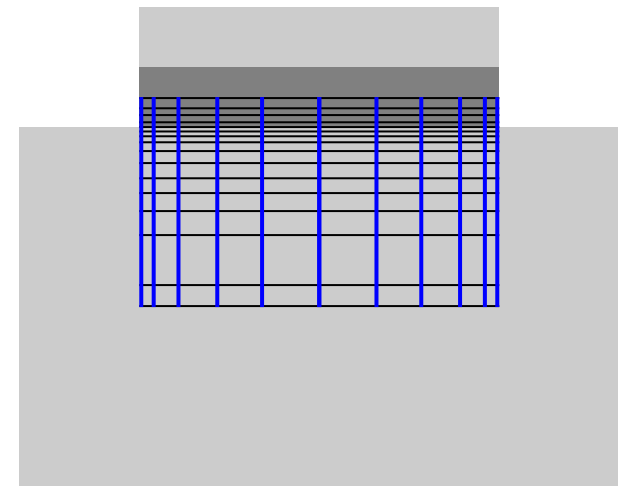
Λ follows by equating the density  $n[\vec{R}]$  with the expression:

$$n(z) = \frac{1}{\beta\pi\hbar^2} \sum_{j,\nu} \left| \psi_j^{(\nu)}(z) \right|^2 m_{xy}^{(\nu)}(z) \exp \left[ \beta \left( E_{F,n}(z) - E_j^{(\nu)} \right) \right]$$

- $\psi_j^{(\nu)}$ ,  $E_j^{(\nu)}$  =  $j$ -th eigenfunction and eigenenergy for valley  $\nu$  obtained by numerical solution of the 1D Schrödinger equation in  $z$ -direction
- Boundary condition  $\psi_j^{(\nu)'} / \psi_j^{(\nu)} = \pm \sqrt{2m_z^{(\nu)} |E_j^{(\nu)} - E_c|} / \hbar$  at the ends of the domain  $[z_-, z_+]$  (defining the total 'quantum box')

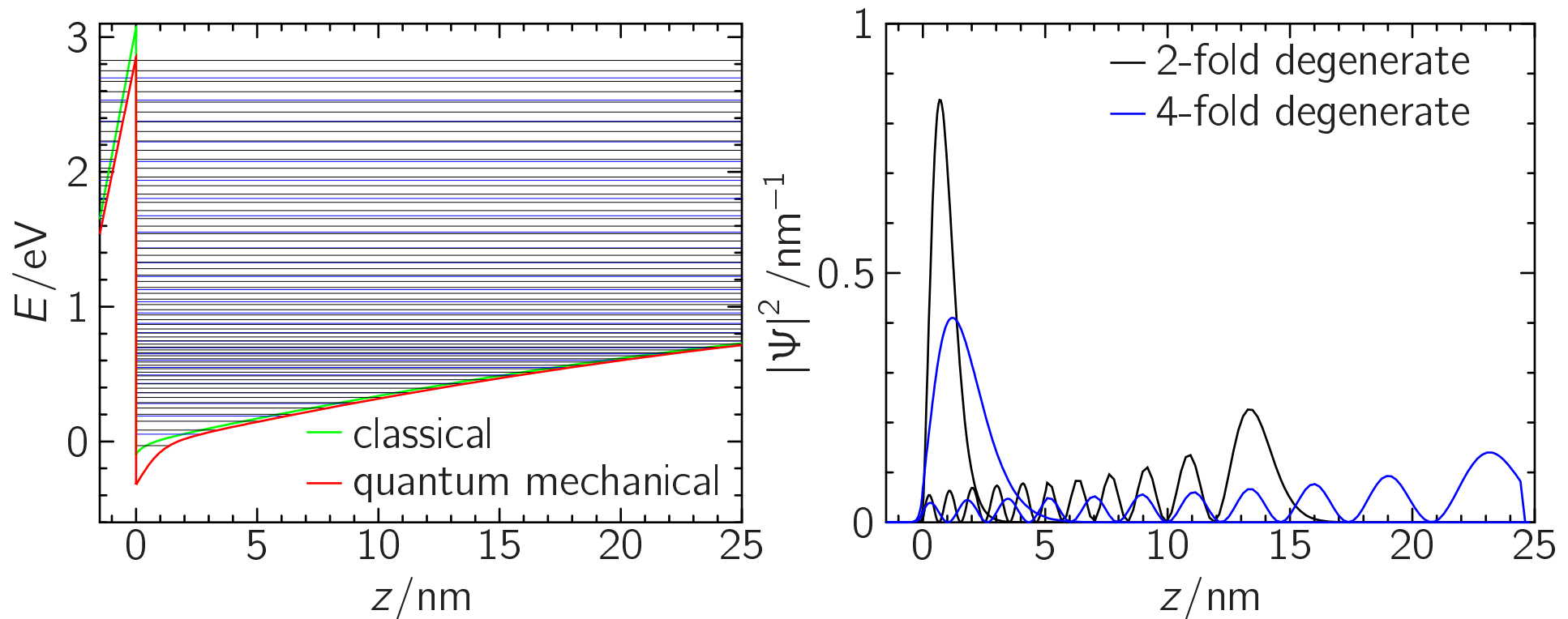
## 1D Schrödinger-Poisson solver (contd.)

- CPU time depends on number of grid lines in quantization direction (may be large)
- A tensor-product grid is needed in the quantum boxes (gate oxide + channel)
- Full Newton impractical; approximation  $\partial n_i^{\text{qm}} / \partial \Phi_j \approx -\delta_{ij} \partial n_i^{\text{qm}} / \partial E_{F,i}$
- Satisfactory convergence for fixed Fermi level, problems when transport equations are to be solved
- Model more suited for calibration and validation purposes than for optimization





## Example: Wave functions and eigenenergies



$$V_G = 3 \text{ V}, t_{\text{ox}} = 3 \text{ nm}, N_A = 5 \cdot 10^{17} \text{ cm}^{-3}$$

- Self-consistency with Poisson is essential
- Finite quantum box is no problem in inversion, some problems at flat-band

## Density gradient model

$\Lambda$  is given by the PDE:

$$\begin{aligned}\Lambda &= -\gamma \frac{\hbar^2}{12m} \left[ \nabla^2 \log n + \frac{1}{2} (\nabla \log n)^2 \right] \\ &= -\gamma \frac{\hbar^2}{6m} \frac{\nabla^2 \sqrt{n}}{\sqrt{n}}\end{aligned}$$

- Method of moments for Liouville equation  $i\hbar\partial_t\rho = [\mathcal{H}, \rho]$
- Hierarchy closed by replacing high-order derivatives of density matrix by approximation for equilibrium density matrix  $\rho_0$ :
  - Classically: diffusion term
  - Quantum-mechanically: additional quantum terms

## Density gradient model (contd.)

- $\gamma$  is a material-dependent fit factor
- Preconditions: thermodynamic equilibrium, isotropy of the effective mass,  $\delta\Phi/k_B T \ll 1$  (Born approximation)
- Generalization for device simulation:

DOS mass  $\longrightarrow m$

non-equilibrium density  $\longrightarrow n$

non-perturbative formulation of  $\Lambda$  with the **smoothed potential**

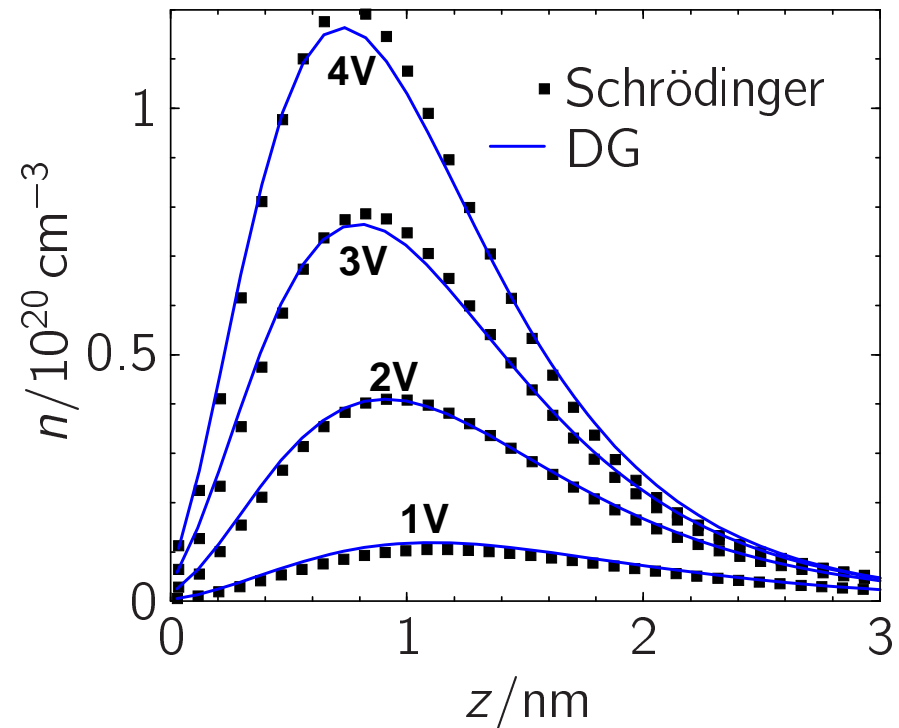
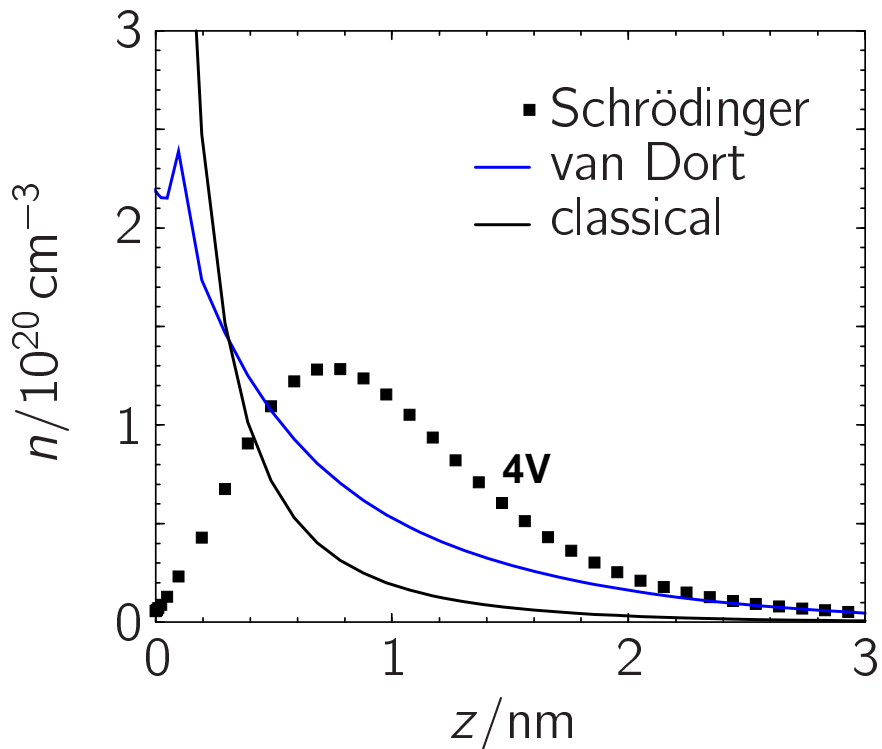
$$\bar{\Phi} = E_c + \Phi_m + \Lambda$$

- $$\Lambda = -\gamma \frac{\hbar^2}{12m} \left\{ \nabla^2 (\beta E_{F,n} - \beta \bar{\Phi}) + \frac{1}{2} [\nabla (\beta E_{F,n} - \beta \bar{\Phi})]^2 \right\}$$

## Density gradient model (contd.)

- Discretization of  $\Lambda$  on unstructured grids is mandatory for professional TCAD
- $\Lambda$  is treated as new system variable, sparsity structure of the Jacobian preserved
- Coupled Newton  $\Rightarrow$  method is numerically robust
- DG model is multi-dimensional
- Pre-factor  $\gamma$  is “universal” ( $\gamma = 3.6$  for silicon, if  $m = m_{DOS}$ )
- Many open questions (physical rigor, boundary conditions, physical models, tunneling, mass anisotropy)

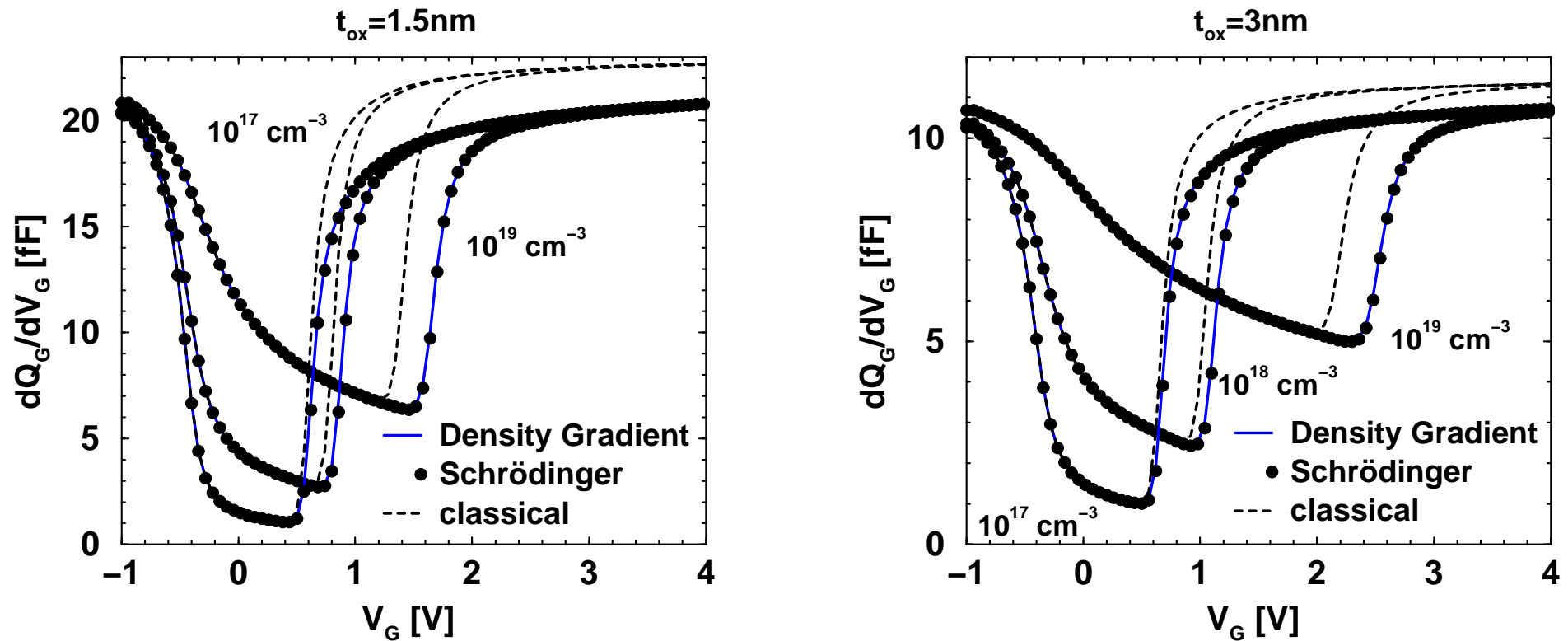
## Example: Density in MOS diode



$$t_{\text{ox}} = 4 \text{ nm}, N_{\text{poly}} = 1 \cdot 10^{20} \text{ cm}^{-3}, N_{\text{A}} = 5 \cdot 10^{17} \text{ cm}^{-3}, T = 300 \text{ K}, \gamma_{\text{ox}} = 1, \\ \gamma_{\text{Si}} = 3.6$$

- van Dort model does not yield the correct density
- DG density is in good agreement with “Schrödinger” for all  $V_{\text{G}}$

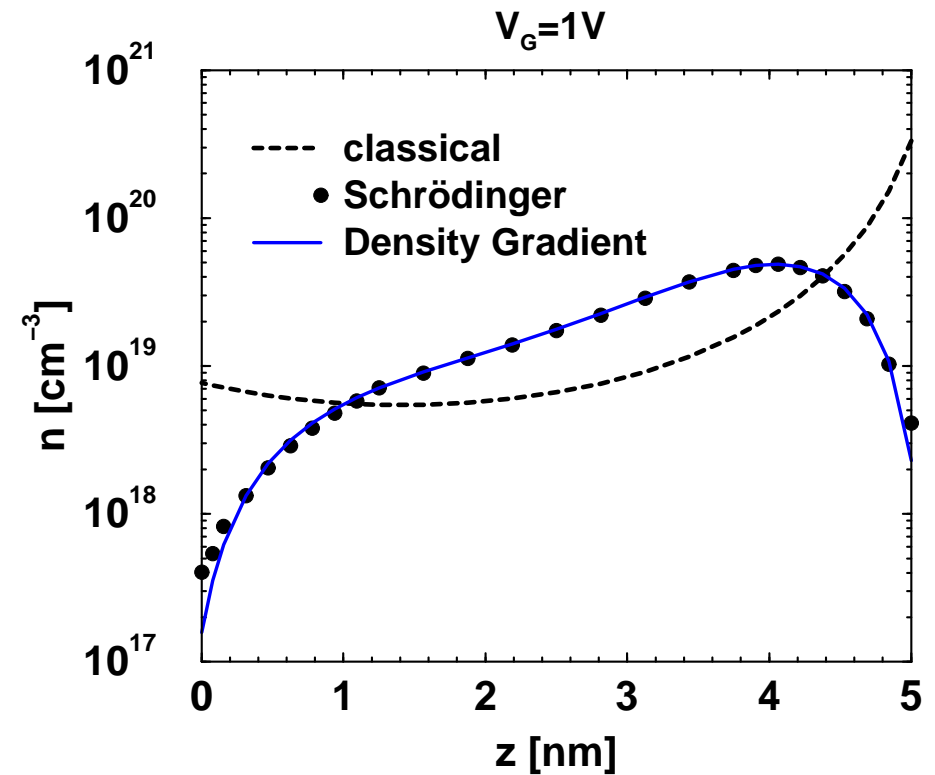
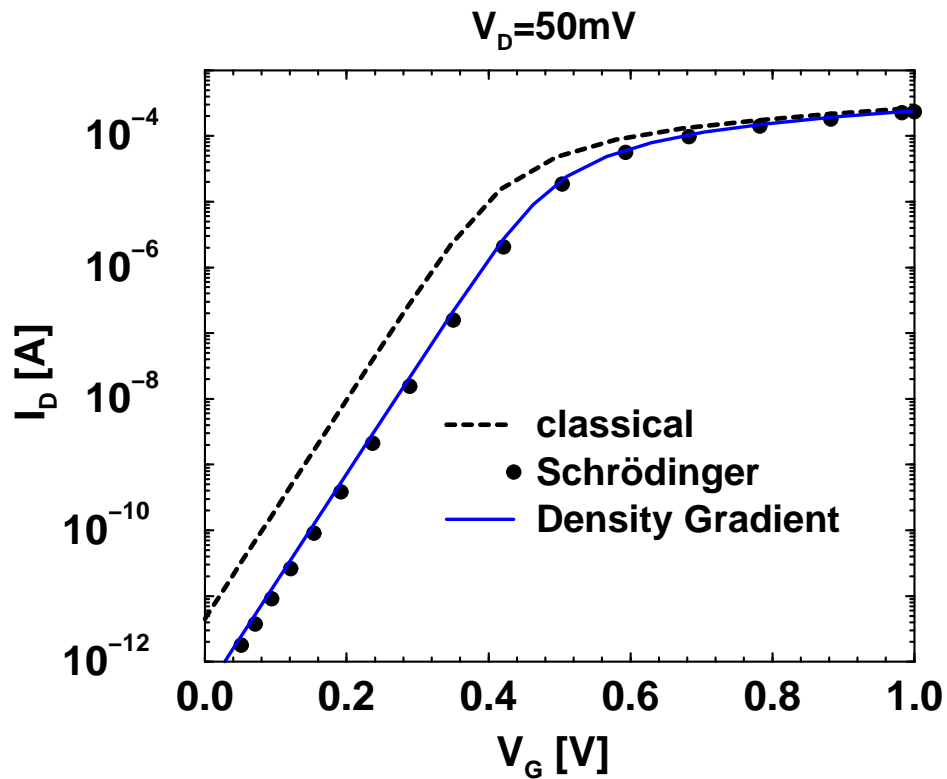
## Capacity of MOS diode



$$N_{\text{poly}} = 1 \cdot 10^{20} \text{ cm}^{-3}, T = 300 \text{ K}, \gamma_{\text{ox}} = 1, \gamma_{\text{Si}} = 3.6, A_G = 1 \mu\text{m}^2$$

- DG model predicts the same reduction of gate capacity as “Schrödinger”, independent on substrate doping and  $t_{ox}$

# Double-gated SOI MOSFET

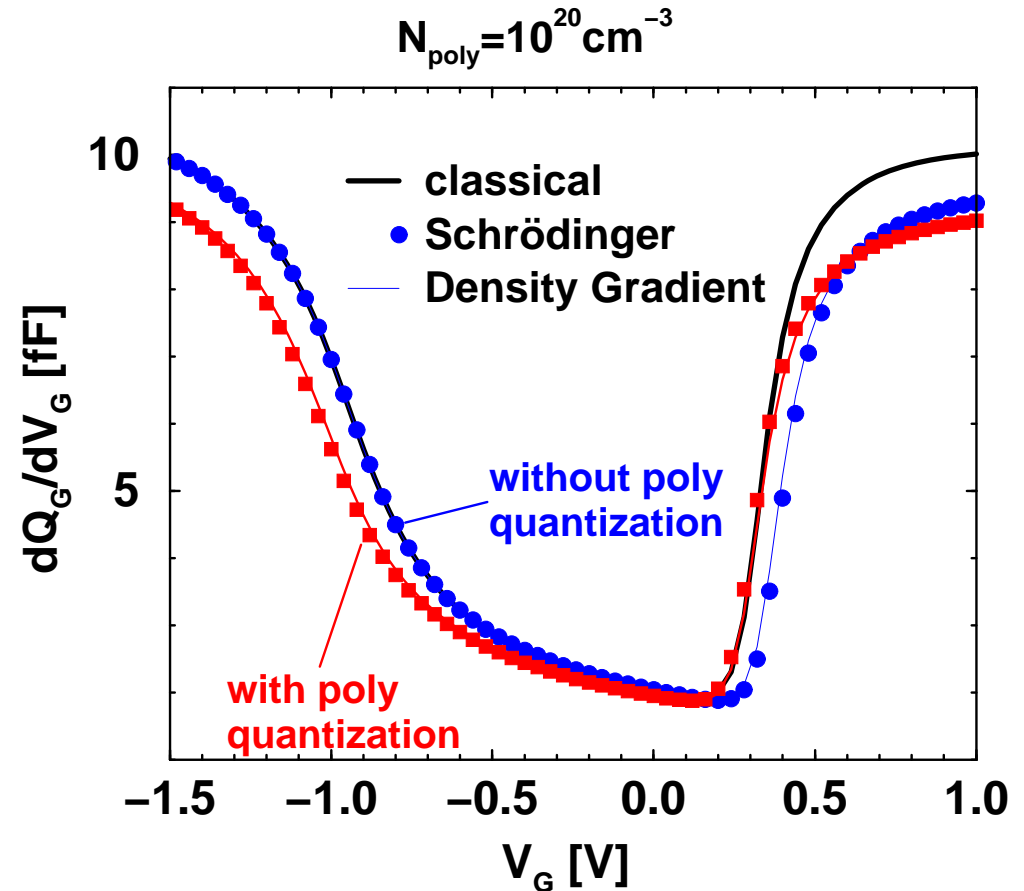


$t_{\text{Si}} = 5\text{ nm}$ ,  $t_{\text{ox}} = 1.5\text{ nm}$ ,  $T = 300\text{ K}$ ,  $1\text{ }\mu\text{m}$  channel width,  $80\text{ nm}$  channel length

- Threshold voltage shift due to strong quantization in a double-gated SOI with  $t_{\text{Si}} = 5\text{ nm}$  is well reproduced by DG model

## Quantum depletion in poly gate

- DG sensitive to rapid changes of the potential *everywhere* in device
- $\Rightarrow$  quantum depletion in poly at poly-SiO<sub>2</sub> interface
- Compensation of quantum shift at threshold, if poly doping high ( $\sim 1 \cdot 10^{20} \text{ cm}^{-3}$ )

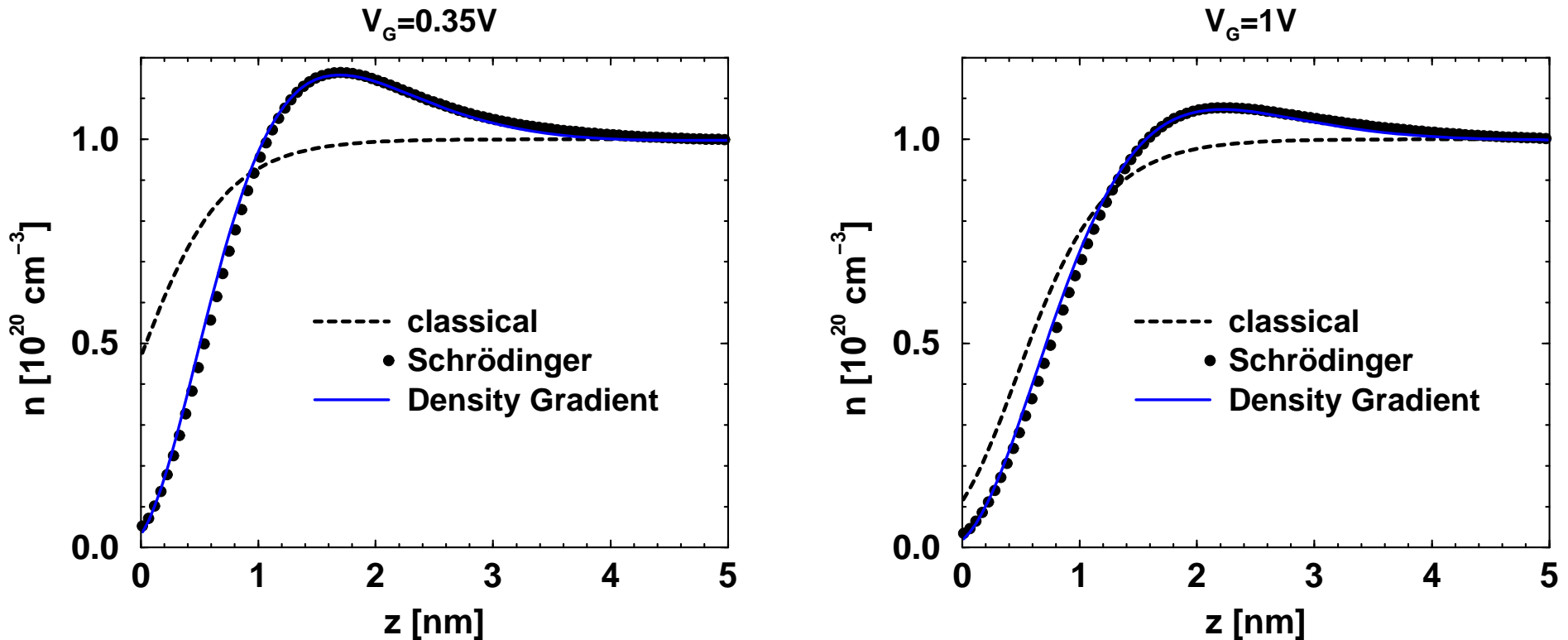


$$t_{\text{ox}} = 3 \text{ nm}, N_A = 5 \cdot 10^{17} \text{ cm}^{-3},$$

$$T = 300 \text{ K}, \gamma_{\text{ox}} = 1, \gamma_{\text{Si}} = 3.6, A_G = 1 \mu\text{m}^2$$

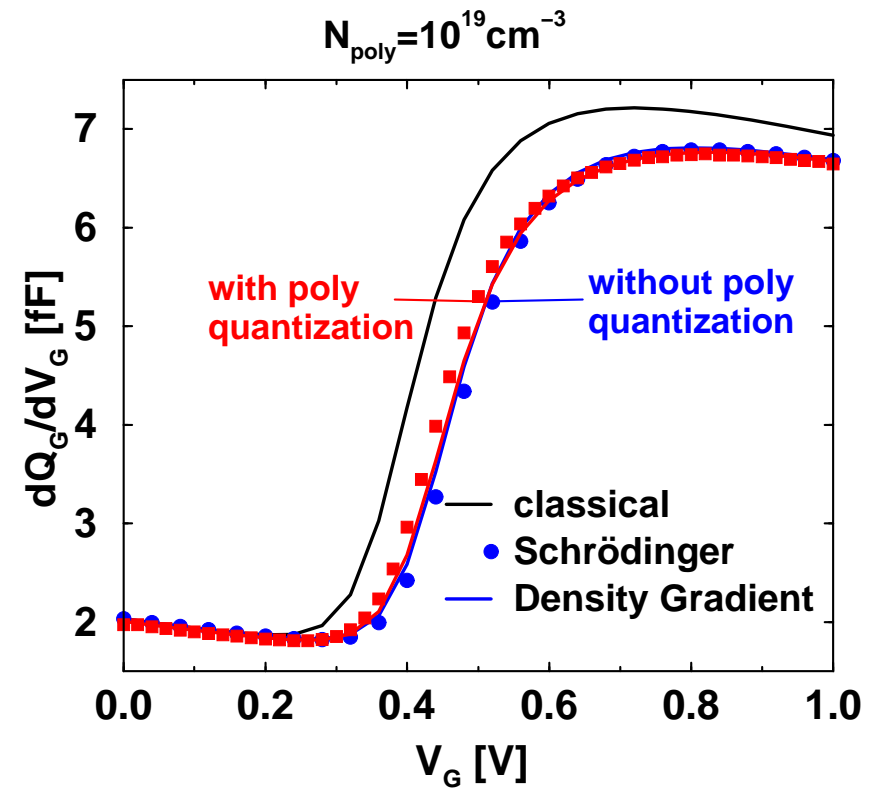
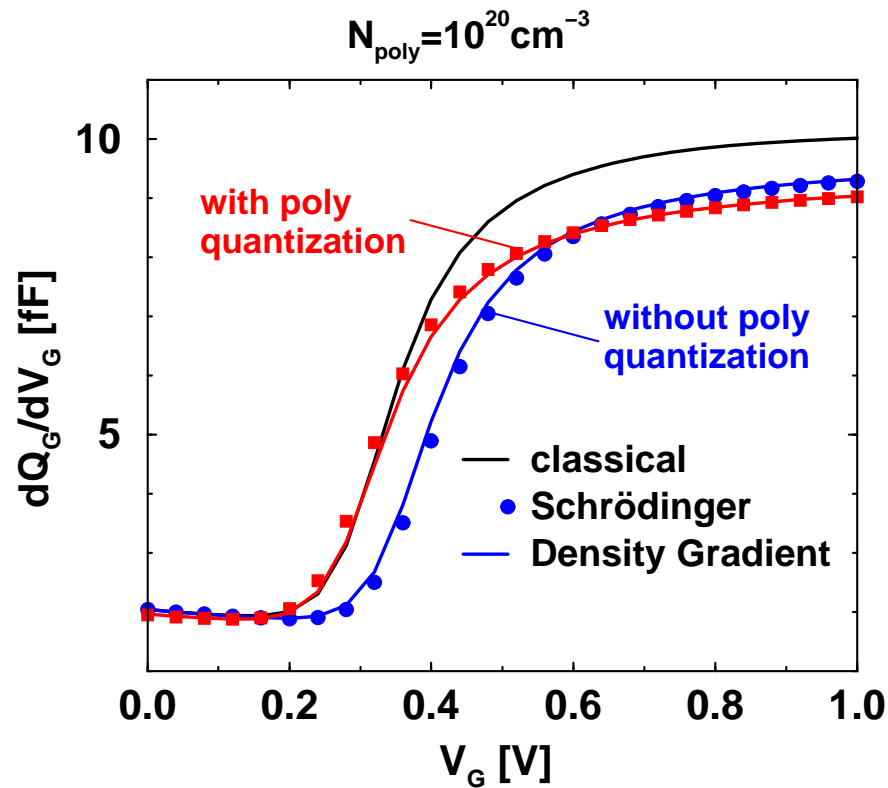


## Quantum depletion in poly gate (contd.)



- A “quantum dipole” forms as the electron waves are repelled from the poly- $\text{SiO}_2$  interface
- With rising  $V_G$  the classical potential becomes smoother,  $\Rightarrow$  quantum depletion in poly disappears

## Quantum depletion in poly gate (contd.)



- Strength of the quantum dipole depends on doping level within the first few nanometers
- No effect on CV, if  $N_{\text{poly}} < 1 \cdot 10^{19} \text{ cm}^{-3}$

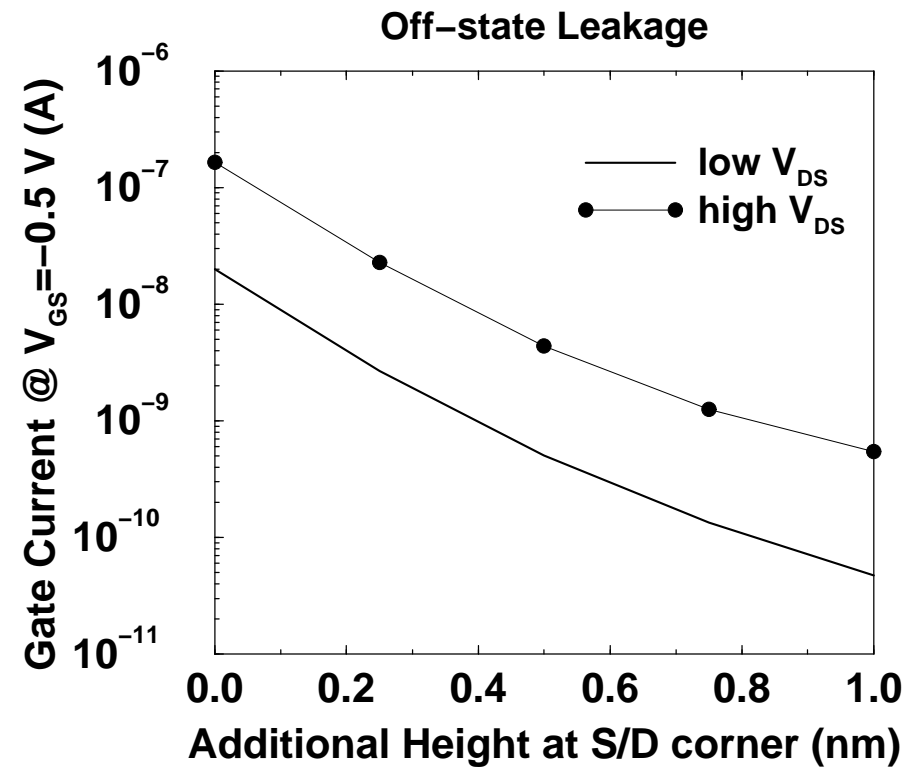
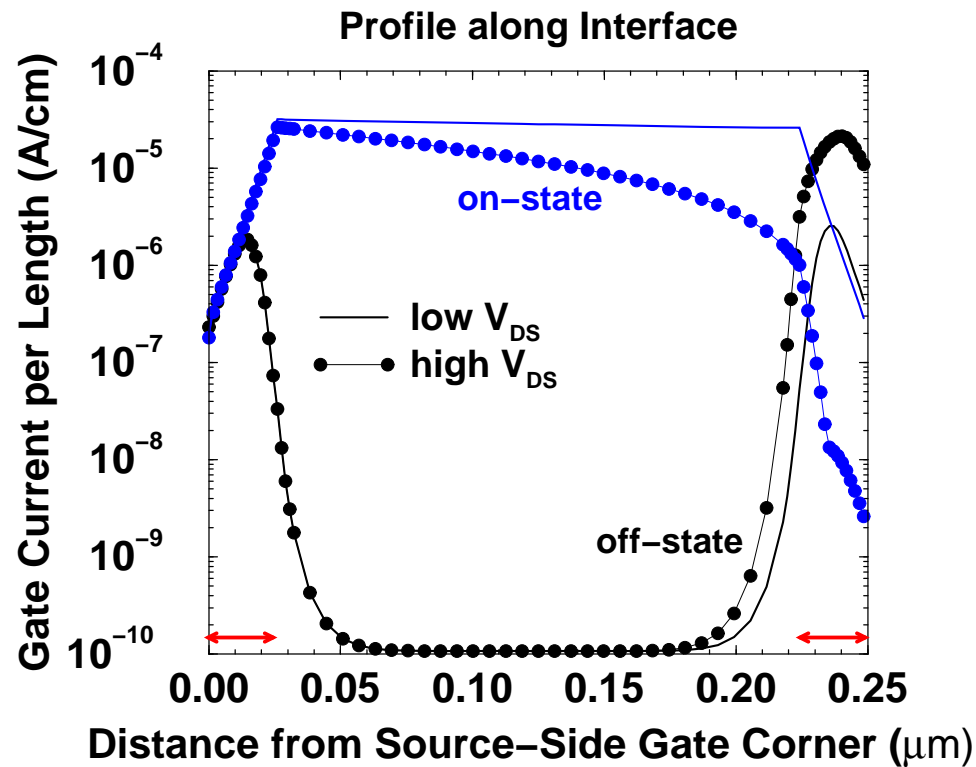
# Direct tunneling gate leakage

### Analytical models

$$j_n = \frac{qm_c^*}{2\pi^2\hbar^3\beta} \int_0^\infty dE \mathcal{T}(E) \ln \left\{ \frac{\exp \left[ \frac{E_{F,s}(d) - E_c(d) - E}{k_B T} \right] + 1}{\exp \left[ \frac{E_{F,g}(0) - E_c(d) - E}{k_B T} \right] + 1} \right\}$$

- $\mathcal{T}(E)$  = transmission coefficient of potential barrier given by analytical function (limited to simple potential shapes as trapezoids)
- $m_c^*$  = fit factor
- Weak non-locality (only Fermi level splitting)
- Self-consistent implementation as surface-recombination current
- Coupled solve with drift-diffusion equations  $\Rightarrow$  link to supply from source/drain (MOSFET) or to GR in the depletion region of the substrate (MOS capacitor)
- Fast and numerically robust

## Example: Gate current in MOSFET



$t_{\text{ox}}$  : 1.5 nm  $\rightarrow$  2.0 nm in  $\longleftrightarrow$

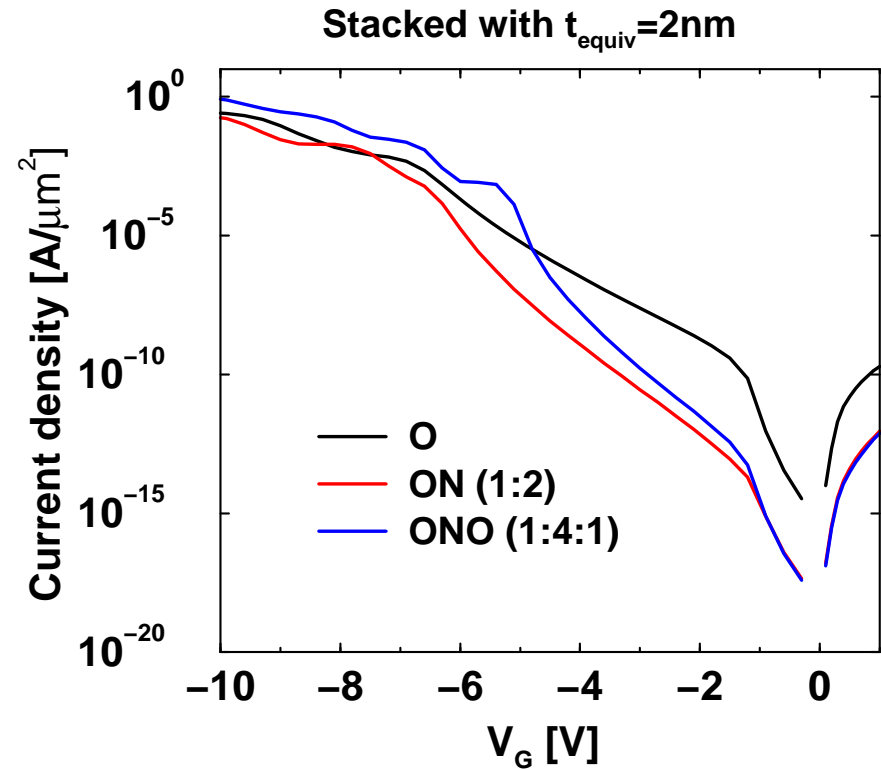
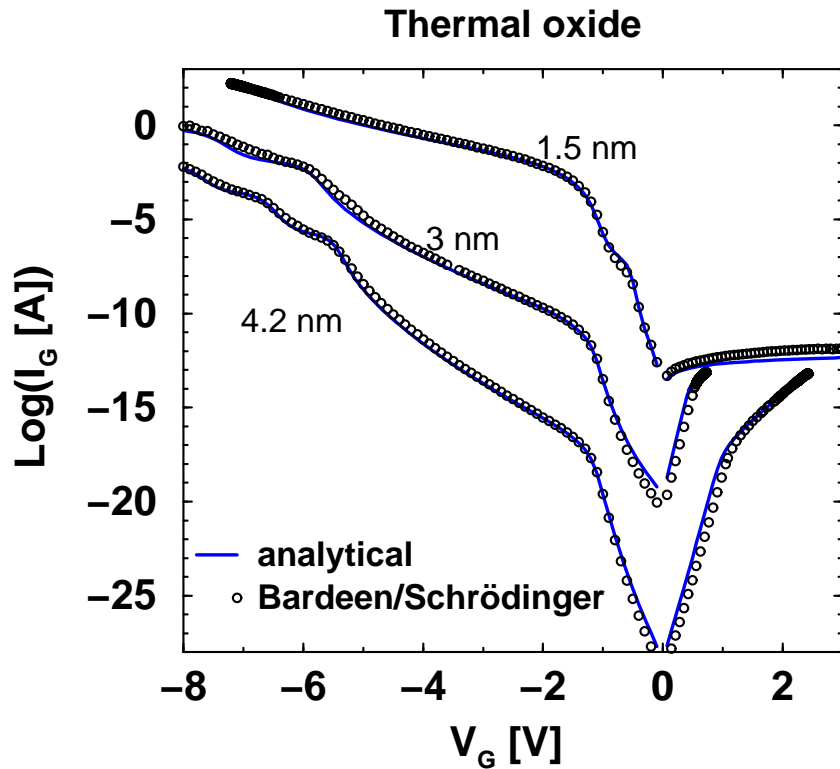
- Poly re-oxidation can strongly reduce in-tunneling (and hence off-state power consumption)

## Bardeen's method

$$j_n = \frac{q\sqrt{2m_gL}}{8\pi m_{ox}^2} \sum_{i,\nu} m_{xy}^{(\nu)} \int dE \delta(E, E_i^{(\nu)}) \left| \Psi_{\tilde{E}} \partial_z \Psi_i^{(\nu)} - \Psi_i^{(\nu)} \partial_z \Psi_{\tilde{E}} \right|_{z=z_0}^2$$

- Perturbation scheme equivalent to ordinary first-order perturbation theory
- Allows to use numerical solutions of the Schrödinger equation  $\Rightarrow$  high flexibility w.r.t. barrier potential
- Emptied channel states  $\Psi_i^{(\nu)}$  refilled by SRH generation (MOS capacitor) or by supply from source/drain (MOSFET)
- Numerical problems for MOS capacitors in coupled solve due to floating “channel”
- In-tunneling requires a large number of eigensolutions on silicon side (quasi-continuum)

## Example: Direct tunnel current in MOS capacitor



$$m_{\text{ox}} = m_{\text{nitr}} = 0.42 m_0, N_A = 1 \cdot 10^{18} \text{ cm}^{-3}, T = 300 \text{ K}$$

- Pre-factor mass  $m_c^*$  fitted once; trapezoidal barrier well described by analytical model; unknown parameters ( $m_{\text{nitr}}$ ) for stacked dielectrics

## Gamow's method

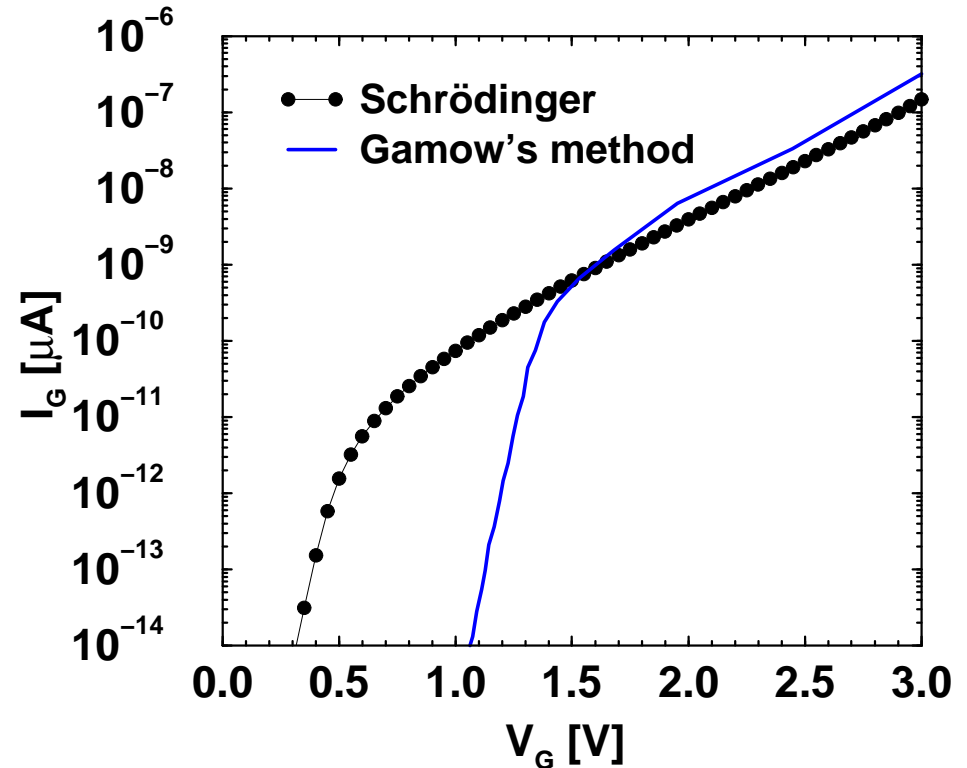
$$j_n = \frac{q}{\pi \hbar^2 \beta} \sum_{i,\nu} \frac{m_{xy}^{(\nu)}}{\tau_i^{(\nu)}} \mathcal{F} \left( E_i^{(\nu)}; E_{F,s}, E_{F,g} \right)$$

- Based on Gamow's picture of nuclear decay
- $\tau_i^{(\nu)} = \hbar/2\Gamma_i^{(\nu)}$ : resonance lifetime of a quasi-bound state in the channel leaking into the gate
- Resonance width  $\Gamma_i^{(\nu)}$  found numerically by solving the Schrödinger equation in a domain that covers substrate, insulator, and gate
- Precondition:  $\Gamma_i^{(\nu)} \ll E_i^{(\nu)} \Rightarrow$  range of deep inversion only
- Large numerical expense to trace resonance peaks and spectral widths
- Less suited for integration into TCAD packages



## Gamow's method (contd.)

- Comparison based on data interface (ESPRIT-IV 29795) between DESSIS<sub>-ISE</sub> and SCALPEL (IMEC)
- Identical structure and potential
- Good agreement in deep inversion (where Gamow's method is meaningful)



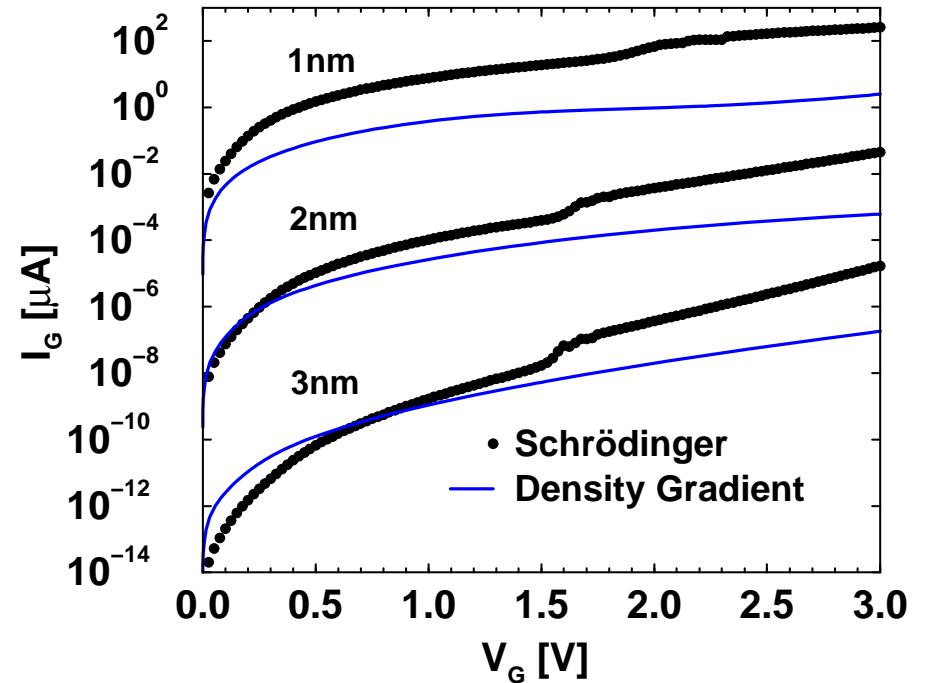
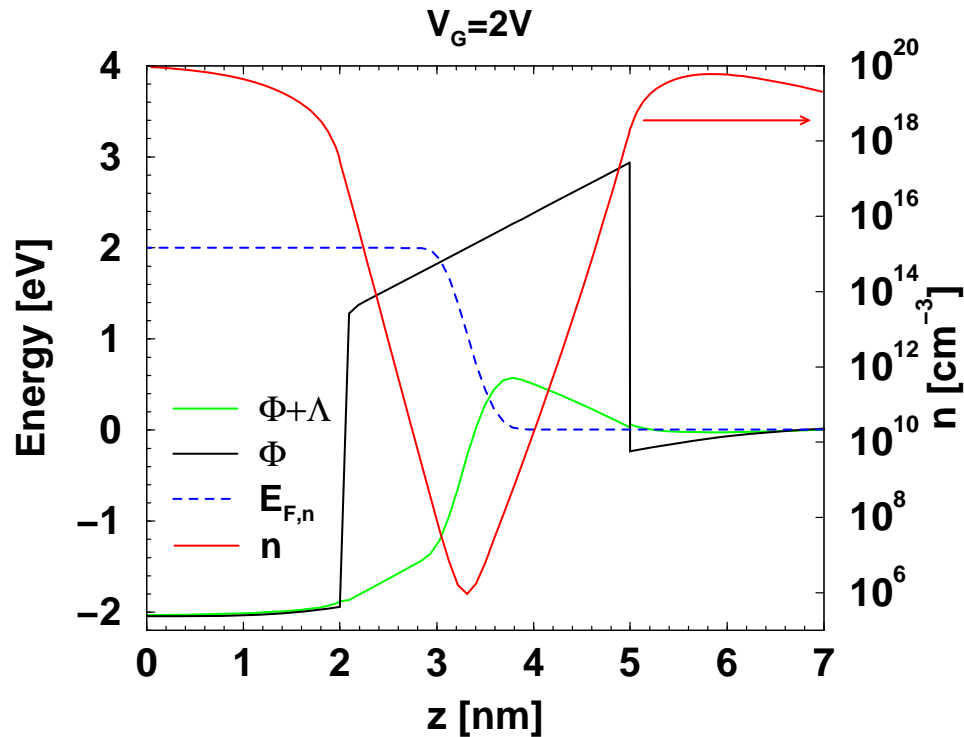
$$t_{\text{ox}} = 3 \text{ nm}, N_A = 1 \cdot 10^{15} \text{ cm}^{-3},$$
$$A_G = 2 \cdot 10^5 \mu\text{m}^2, T = 300 \text{ K}$$

## Density gradient method

$$j_n = j_{DD} \quad (\text{ordinary drift-diffusion current})$$

- Barriers strongly reduced by quantum potential  $\Lambda$
- Barrier regions treated as wide-gap semiconductor with oxide parameters
- Current equation solved in the barrier with certain mobility value
- Quasi-Fermi level drops in the barrier region (at the minimum of the carrier density)
- $\mu_n = 0.05 \text{ cm}^2/\text{Vs}$  from fit to 'Schrödinger' in the case  $t_{\text{ox}} = 2 \text{ nm}$

## Density gradient method (contd.)

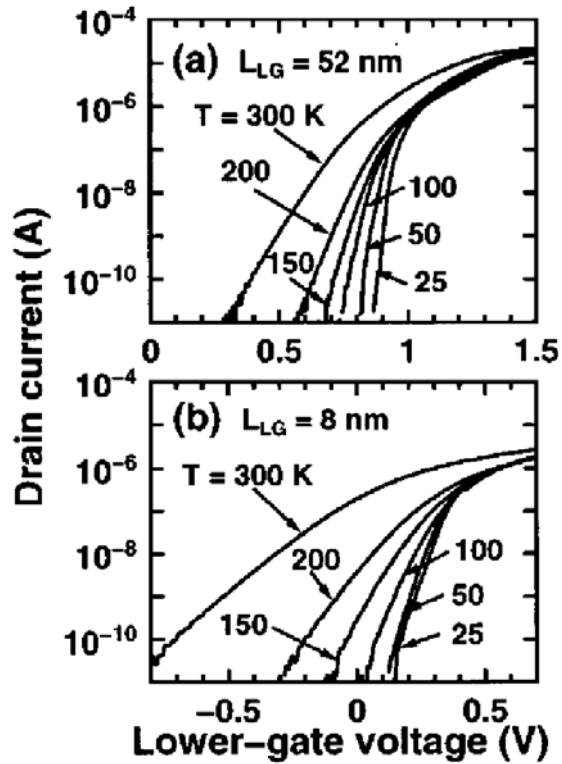
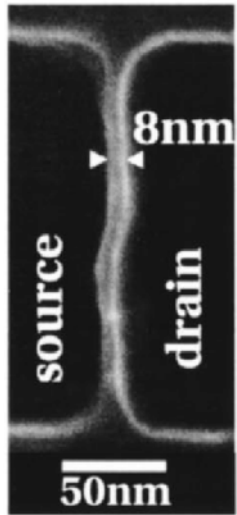


NMOSFET with  $L_G = 300$  nm,  $W = 1$   $\mu m$ ,  $\gamma_{ox} = 1$ ,  $\gamma_{Si} = 3.6$ ,  $\mu_{ox} = 0.05$  cm<sup>2</sup>/Vs,  
 $T = 300$  K

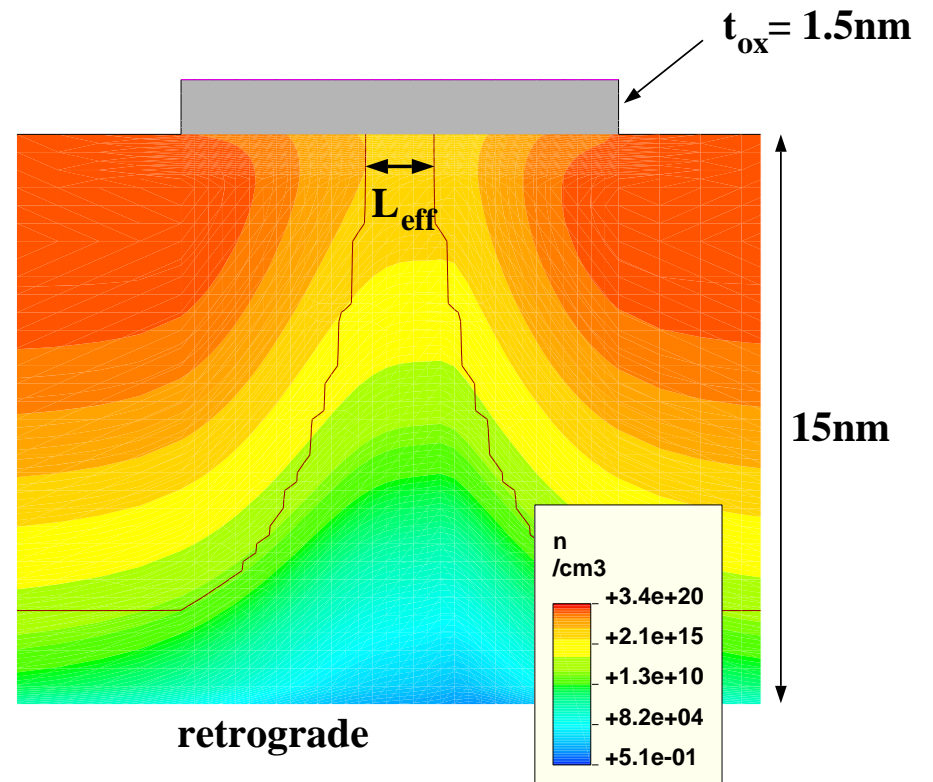
# Source-to-drain tunneling (?)

## Experiment:

Kawaura et al., APL 76 (25), 3810 (2000)



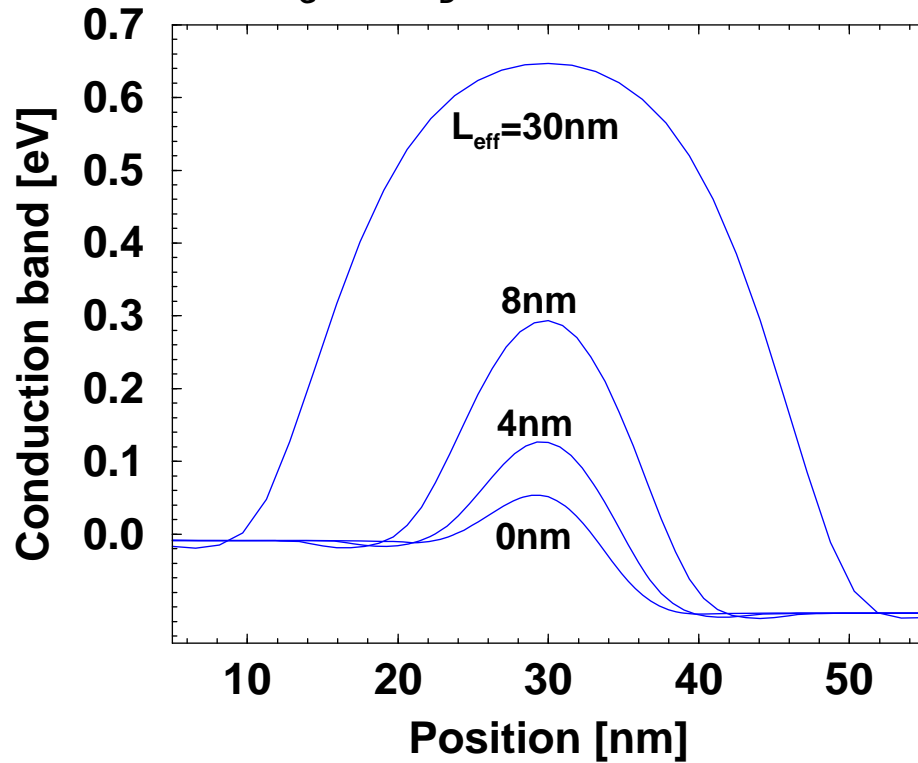
## Simulation: reduction of $L_{eff}$ ,



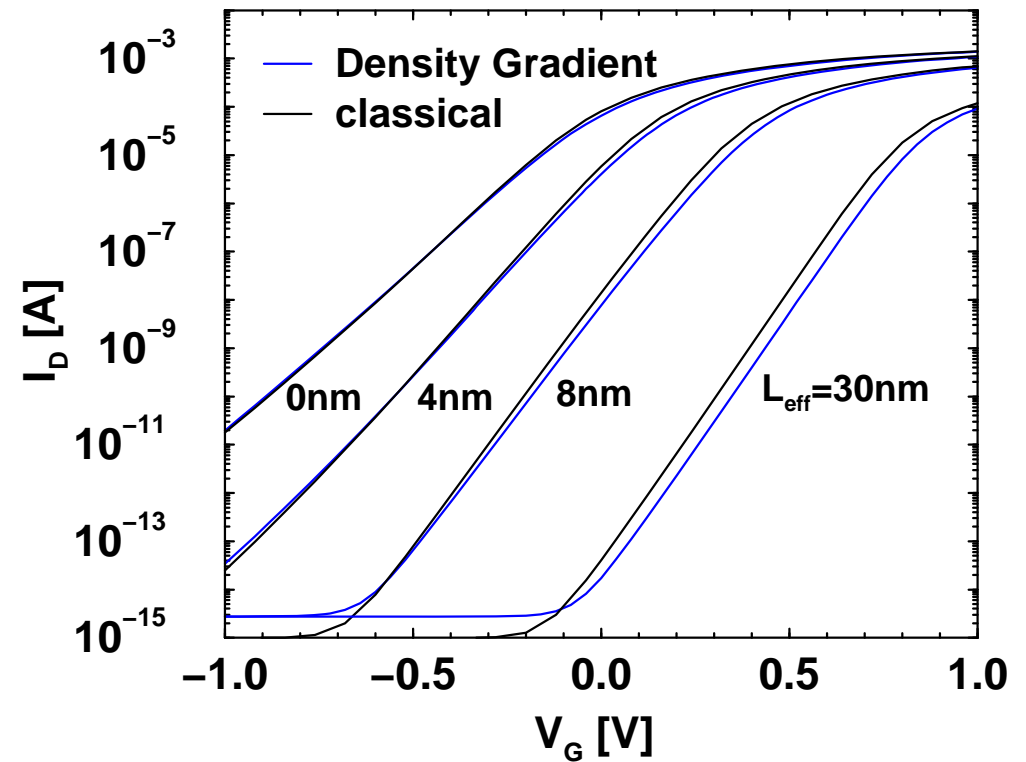
application of DG method

# Potential barriers and transfer characteristics

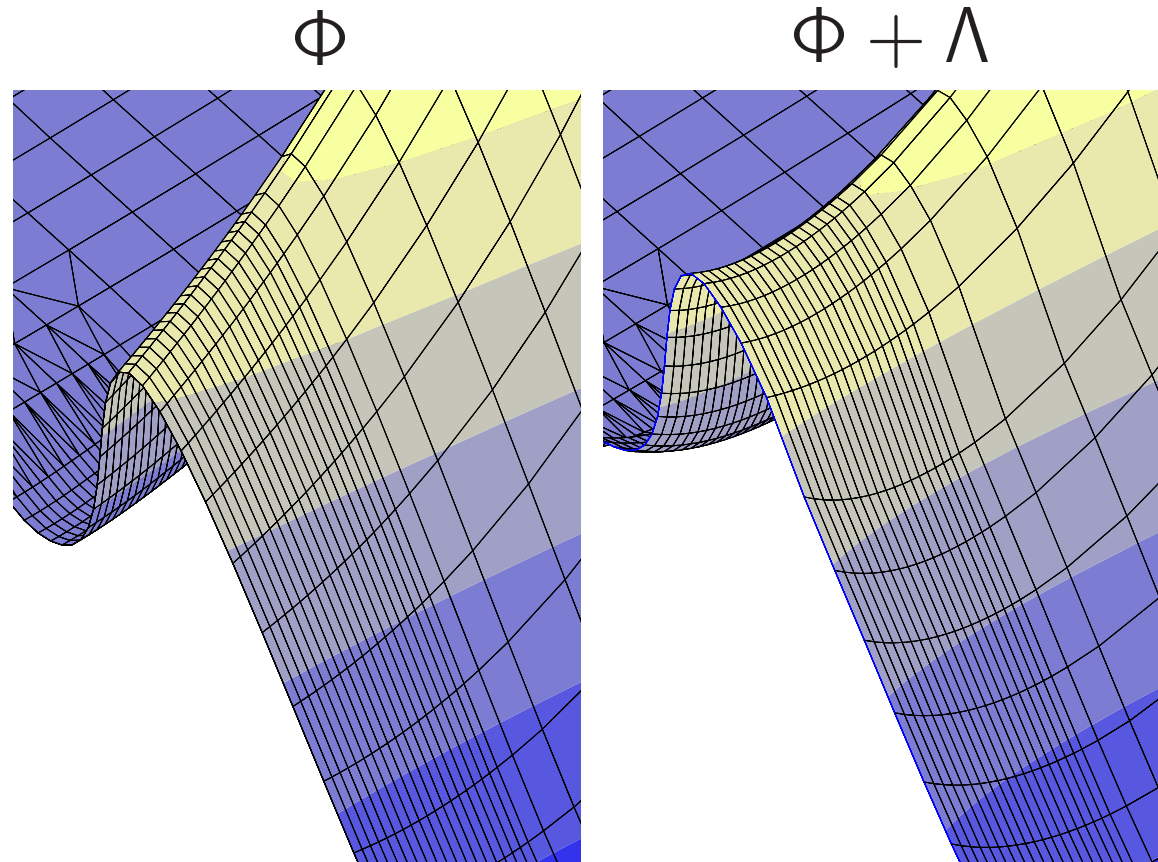
$V_G=0V$ ,  $V_D=0.1V$ , depth=1nm



$V_D=0.1V$

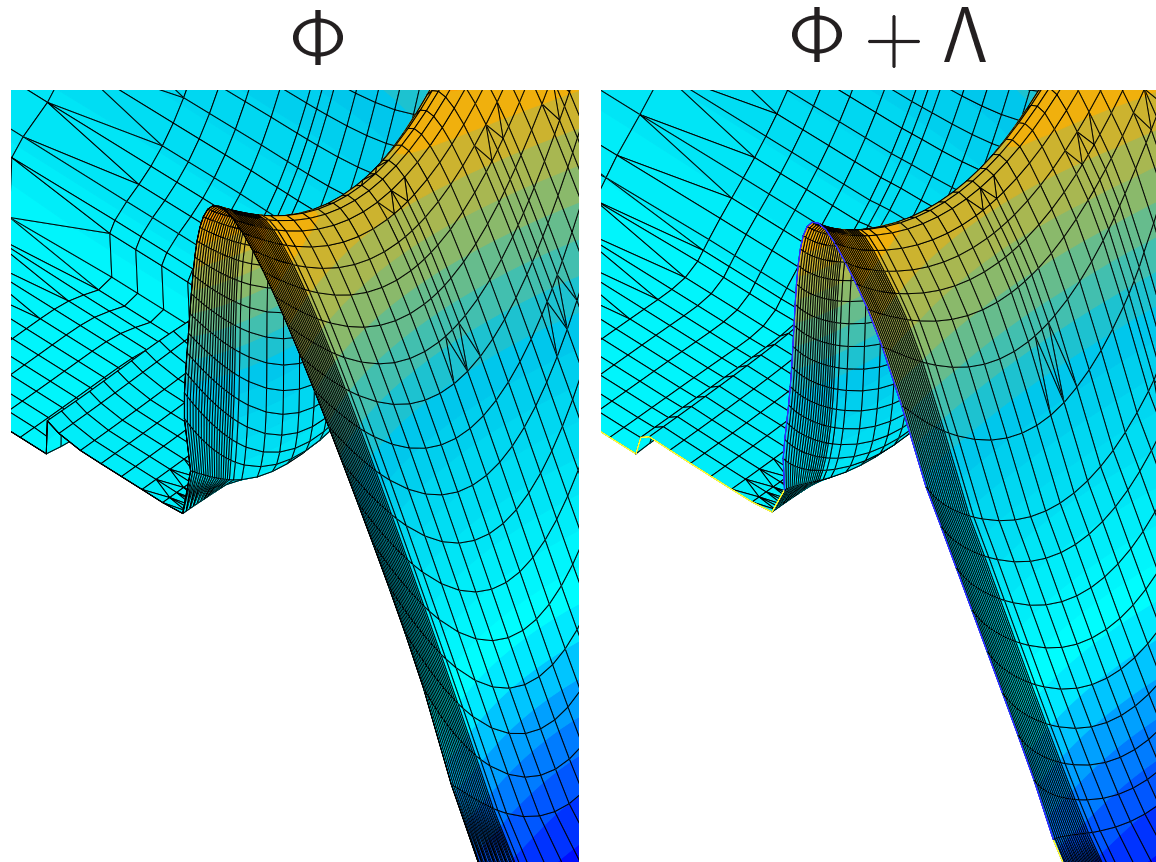


## Band edge profile



NMOSFET with  $L_{\text{eff}} = 4 \text{ nm}$ ,  $W = 1 \mu\text{m}$ ,  $V_G = 0 \text{ V}$ ,  $V_D = 0.1 \text{ V}$ ,  $\gamma_{\text{ox}} = 1$ ,  $\gamma_{\text{Si}} = 3.6$ ,  
 $T = 300 \text{ K}$

## Band edge profile



NMOSFET with  $L_{\text{eff}} = 4 \text{ nm}$ ,  $W = 1 \mu\text{m}$ ,  $V_G = -1 \text{ V}$ ,  $V_D = 0.5 \text{ V}$ ,  $\gamma_{\text{ox}} = 1$ ,  
 $\gamma_{\text{Si}} = 3.6$ ,  $T = 300 \text{ K}$

# Conclusion

- Modeling of quantum effects in CMOS now established in state-of-the-art TCAD
- Schrödinger-Poisson most sound, but expensive and often convergence problems
- Density gradient method most promising alternative; quantum-corrected dissipative transport scheme, full Newton, multi-dimensional
- Direct tunneling: all the different methods give essentially the same; predictability remains limited
- Are quantum effects really important?



# Acknowledgment

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